

AIR DERC_100614734-410297_
TR_20150818_Trade_D3192

08/18/2015 ----- EBTP IMS- PROJECT RECORD -----

PROJECT#: 410297
RECEIVED: 08/10/2015

STATUS: P
PROJTYPE: BTSF

DISP CODE: C ✓
ISSUED DT:
SUP-DISP DATE: 8/18/15

STAFF ASSIGNED TO PROJECT:
MERCADO, PE, MARIE

PROJECT TRANSACTIONS**COMPANY DATA**

COMPANY NAME: KOCH SUPPLY AND TRADING LP
CUSTOMER REGISTRY ID:

PORTFOLIO DATA

NUMBER: P3034 NAME: KOCH SUPPLY - BKR1019

SITE DATA

ACCOUNT: BKR1019

REG ENTITY ID:

SITE NAME: BROKER ACCOUNT - KOCH SUPPLY AND TRADING LP

COUNTY:

NEAREST CITY:

LOCATION:

CONTACT DATA

NAME: COLIN MOORE

TITLE: SVP GLOBAL RENEWABLES

STREET: 20 EAST GREENWAY PLAZA, 8TH FLOOR CITY/STATE, ZIP: HOUSTON, TX , 77046-0

PHONE: 713-544-5041 ext 0

Email: colin.moore@kochind.com

TRANSACTION DATA

TRANSACTION TYPE: DERC_SELL

DATE ENTERED: 2015-08-14 00:00:00.0

DELETED DATE:

EFFECTIVE YEAR:

CONTAMINATE: NOX

TONS: 2.20

DOLLARS: 7500.00

ALLOWANCE

CERTIFICATE NO.: D3008 COUNTY :

COMPANY DATA

COMPANY NAME: DEPARTMENT OF VETERANS AFFAIRS

CUSTOMER REGISTRY ID: CN600730238

PORTFOLIO DATA

NUMBER: P3378 NAME: VA MEDICAL CENTER - RN100614734

SITE DATA

ACCOUNT: HG8927K

REG ENTITY ID: RN100614734

SITE NAME: VA MEDICAL CENTER

COUNTY: HARRIS

NEAREST CITY: HOUSTON

D-3192

LOCATION: 2002 HOLCOMBE BLVD HOUSTON, TX 77030-4211

CONTACT DATA

NAME: GEORGE E. THOMAS

TITLE: ACTING FMS LINE EXECUTIVE

STREET: 2002 HOLCOMBE BOULEVARD CITY/STATE, ZIP: HOUSTON, TX , 77030-0

PHONE: 713-794-8225 ext 0

Email: george.thomas2@va.gov

TRANSACTION DATA

TRANSACTION TYPE: DERC_BUY

DATE ENTERED: 2015-08-14 00:00:00.0

DELETED DATE:

EFFECTIVE YEAR:

CONTAMINATE: NOX

TONS: 2.20

DOLLARS: 7500.00

ALLOWANCE

CERTIFICATE NO.: D3191 COUNTY : HARRIS

COMPANY DATA

COMPANY NAME: KOCH SUPPLY AND TRADING LP

CUSTOMER REGISTRY ID:

PORTFOLIO DATA

NUMBER: P3034 NAME: KOCH SUPPLY - BKR1019

SITE DATA

ACCOUNT: BKR1019

REG ENTITY ID:

SITE NAME: BROKER ACCOUNT - KOCH SUPPLY AND TRADING LP

COUNTY:

NEAREST CITY:

LOCATION:

CONTACT DATA

NAME: COLIN MOORE

TITLE: SVP GLOBAL RENEWABLES

STREET: 20 EAST GREENWAY PLAZA, 8TH FLOOR CITY/STATE, ZIP: HOUSTON, TX , 77046-0

PHONE: 713-544-5041 ext 0

Email: colin.moore@kochind.com

TRANSACTION DATA

TRANSACTION TYPE: DERC_SELL

DATE ENTERED: 2015-08-14 00:00:00.0

DELETED DATE:

EFFECTIVE YEAR:

CONTAMINATE: NOX

TONS: 0.70

DOLLARS: 7500.00

ALLOWANCE

CERTIFICATE NO.: D3009 COUNTY :

COMPANY DATA

COMPANY NAME: DEPARTMENT OF VETERANS AFFAIRS

CUSTOMER REGISTRY ID: CN600730238

PORTFOLIO DATA

NUMBER: P3378 NAME: VA MEDICAL CENTER - RN100614734

SITE DATA

ACCOUNT: HG8927K

REG ENTITY ID: RN100614734

SITE NAME: VA MEDICAL CENTER

COUNTY: HARRIS

NEAREST CITY: HOUSTON

LOCATION: 2002 HOLCOMBE BLVD HOUSTON, TX 77030-4211

CONTACT DATA

NAME: GEORGE E. THOMAS

TITLE: ACTING FMS LINE EXECUTIVE

STREET: 2002 HOLCOMBE BOULEVARD CITY/STATE, ZIP: HOUSTON, TX , 77030-0

PHONE: 713-794-8225 ext 0

Email: george.thomas2@va.gov

TRANSACTION DATA

TRANSACTION TYPE: DERC_BUY

DATE ENTERED: 2015-08-14 00:00:00.0

DELETED DATE:

EFFECTIVE YEAR:

CONTAMINATE: NOX

TONS: 0.70

DOLLARS: 7500.00

ALLOWANCE

CERTIFICATE NO.: D3192 COUNTY : HARRIS

COMPANY DATA

COMPANY NAME: KOCH SUPPLY AND TRADING LP

CUSTOMER REGISTRY ID:

PORTFOLIO DATA

NUMBER: P3034 NAME: KOCH SUPPLY - BKR1019

SITE DATA

ACCOUNT: BKR1019

REG ENTITY ID:

SITE NAME: BROKER ACCOUNT - KOCH SUPPLY AND TRADING LP

COUNTY:

NEAREST CITY:

LOCATION:

CONTACT DATA

NAME: COLIN MOORE

TITLE: SVP GLOBAL RENEWABLES

STREET: 20 EAST GREENWAY PLAZA, 8TH FLOOR CITY/STATE, ZIP: HOUSTON, TX , 77046-0

PHONE: 713-544-5041 ext 0

Email: colin.moore@kochind.com

TRANSACTION DATA

TRANSACTION TYPE: DERC_SELL

DATE ENTERED: 2015-08-14 00:00:00.0

DELETED DATE:

EFFECTIVE YEAR:

CONTAMINATE: NOX

TONS: 4.10

DOLLARS: 7500.00

ALLOWANCE

CERTIFICATE NO.: D3038 COUNTY :

COMPANY DATA

COMPANY NAME: DEPARTMENT OF VETERANS AFFAIRS

CUSTOMER REGISTRY ID: CN600730238

PORTFOLIO DATA

NUMBER: P3378 NAME: VA MEDICAL CENTER - RN100614734

SITE DATA

ACCOUNT: HG8927K

REG ENTITY ID: RN100614734

SITE NAME: VA MEDICAL CENTER

COUNTY: HARRIS

NEAREST CITY: HOUSTON

LOCATION: 2002 HOLCOMBE BLVD HOUSTON, TX 77030-4211

CONTACT DATA **Banking Cap and Trade**

NAME: GEORGE E. THOMAS Project Report TITLE: ACTING FMS LINE EXECUTIVE

STREET: 2002 HOLCOMBE BOULEVARD CITY/STATE, ZIP: HOUSTON, TX, 77030-0

PHONE: 713-794-8225 ext 0

Email: george.thomas2@va.gov

TRANSACTION DATA

TRANSACTION TYPE: DERC_BUY

DATE ENTERED: 2015-08-14 00:00:00.0

CONTAMINATE: NOX

ALLOWANCE

DELETED DATE:

EFFECTIVE YEAR:

TONS: 4.10

DOLLARS: 7500.00

CERTIFICATE NO.: D3193 COUNTY : HARRIS

COMPANY DATA

COMPANY NAME: KOCH SUPPLY AND TRADING LP

CUSTOMER REGISTRY ID:

PORTFOLIO DATA

NUMBER: P3034 NAME: KOCH SUPPLY - BKR1019

SITE DATA

ACCOUNT: BKR1019

REG ENTITY ID:

SITE NAME: BROKER ACCOUNT - KOCH SUPPLY AND TRADING LP

COUNTY:

NEAREST CITY:

LOCATION:

CONTACT DATA

NAME: COLIN MOORE

TITLE: SVP GLOBAL RENEWABLES

STREET: 20 EAST GREENWAY PLAZA, 8TH FLOOR CITY/STATE, ZIP: HOUSTON, TX, 77046-0

PHONE: 713-544-5041 ext 0

Email: colin.moore@kochind.com

TRANSACTION DATA

TRANSACTION TYPE: DERC_RET

DATE ENTERED: 2015-08-14 00:00:00.0

CONTAMINATE: NOX

ALLOWANCE

DELETED DATE:

EFFECTIVE YEAR:

TONS: 5.10

DOLLARS: 0

CERTIFICATE NO.: D3194 COUNTY :

TRACKING ACTIVITIES

PROJECT SUBMITTED: 07/31/2015 PM RECEIVED DATE: 08/13/2015 PROJECT COMPLETED: 8/18/15

Portfolio Detail

Portfolio Number: P3034
Portfolio Name: KOCH SUPPLY - BKR1019
Company Name: KOCH SUPPLY AND TRADING LP

Actual Allowances for Pollutant NOX

Balance/Year	2010	2011	2012	2013	2014	2015	2016
Penalty from last year	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Certified Allocation	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Allowances for Offsets	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>
Future Trade In	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Future Trade Out	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>
Stream Traded In	<u>101.6</u>	<u>291.3</u>	<u>432.2</u>	<u>546.7</u>	<u>546.7</u>	<u>547.1</u>	<u>547.1</u>
Stream Traded Out	<u>-47.5</u>	<u>-203.7</u>	<u>-347.1</u>	<u>-435.9</u>	<u>-435.9</u>	<u>-452.1</u>	<u>-452.1</u>
Current Traded In	<u>0</u>	<u>3</u>	<u>0</u>	<u>7</u>	<u>0</u>	<u>0</u>	<u>0</u>
Current Traded Out	<u>-0</u>	<u>-85</u>	<u>-20.7</u>	<u>-76</u>	<u>-27</u>	<u>-0</u>	<u>-0</u>
Total Reported Emissions	0	0	0	0	0	0	0
Current Used for Compliance	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>
Vintage From Previous Year	<u>23</u>	<u>54.2</u>	<u>5.7</u>	<u>64.4</u>	<u>41.8</u>	<u>0</u>	<u>0</u>
Vintage Traded In	<u>0</u>	<u>262.1</u>	<u>242.3</u>	<u>10</u>	<u>0</u>	<u>0</u>	<u>0</u>
Vintage Traded Out	<u>-23</u>	<u>-305</u>	<u>-219</u>	<u>-74.4</u>	<u>-27</u>	<u>-0</u>	<u>-0</u>
Vintage Used for Compliance	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>
DERC Intent	0	0	0	0	0	0	0
DERC Use	0	0	0	0	0	0	0
ERC Converted to Allowances	0.1	0.1	0	0	0	0	0
CURRENT ALLOWANCE BALANCE	<u>54.2</u>	<u>5.7</u>	<u>64.4</u>	<u>41.8</u>	<u>83.8</u>	<u>95</u>	<u>95</u>
VINTAGE ALLOWANCE BALANCE	<u>0</u>	<u>11.3</u>	<u>29</u>	<u>0</u>	<u>14.8</u>	<u>0</u>	<u>0</u>

Flexible Allowances for Pollutant NOX

Balance	2010	2011	2012	2013	2014	2015	2016
Flexible Allowance	0	0	0	0	0	0	0
Penalty	0	0	0	0	0	0	0
Associated Annual Emissions	-0	-0	-0	-0	-0	-0	-0
BALANCE	0	0	0	0	0	0	0

Sb7 Allowances for Pollutant NOX

Balance/Year	2010	2011	2012	2013	2014	2015	2016
Previous Year Deficit	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Allowances Remaining from Previous Year	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Allocation	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

Current Traded In	0	0	0	0	0	0	0
Current Traded Out	-0	-0	-0	-0	-0	-0	-0
Stream Traded In	0	0	0	0	0	0	0
Stream Traded Out	-0	-0	-0	-0	-0	-0	-0
Total Reported Emissions	-0	-0	-0	-0	-0	-0	-0
Remaining Balance	0	0	0	0	0	0	0

DERC >

Certificate Num	Cont Code	Avail Tons	Transaction Type	Inactive Date	Gen Start Date	Gen End Date	County	Parent Cert Num
<u>D2231</u>	NOX	10.70	DERC_BUY	01/06/2012	05/01/2003	04/30/2004	HARRIS	D1255
<u>D3194</u>	NOX	5.10	DERC_RET	12/30/3000	01/01/2000	12/31/2000	HARRIS	D3038
<u>D3037</u>	NOX	25.00	DERC_BUY	12/30/3000	01/01/2009	12/31/2009	HARRIS	D2669
<u>D3008</u>	NOX	2.20	DERC_BUY	12/30/3000	05/01/2003	04/30/2004	HARRIS	D2878
<u>D3030</u>	NOX	22.60	DERC_BUY	12/30/3000	01/01/2002	12/31/2002	HARRIS	D2662
<u>D3038</u>	NOX	9.20	DERC_BUY	12/30/3000	01/01/2000	12/31/2000	HARRIS	D2895
<u>D3008</u>	NOX	2.20	DERC_SELL	12/30/3000	05/01/2003	04/30/2004	HARRIS	D2878
<u>D3009</u>	NOX	0.70	DERC_BUY	12/30/3000	05/01/2003	04/30/2004	HARRIS	D2996
<u>D3029</u>	NOX	19.50	DERC_BUY	12/30/3000	01/01/2001	12/31/2001	HARRIS	D2661
<u>D2855</u>	NOX	2.70	DERC_RET	11/30/2012	05/01/2003	04/30/2004	HARRIS	D2231
<u>D3033</u>	NOX	25.90	DERC_BUY	12/30/3000	01/01/2005	12/31/2005	HARRIS	D2665
<u>D3032</u>	NOX	23.80	DERC_BUY	12/30/3000	01/01/2004	12/31/2004	HARRIS	D2664
<u>D2855</u>	NOX	2.70	DERC_SELL	11/30/2012	05/01/2003	04/30/2004	HARRIS	D2231
<u>D2231</u>	NOX	10.70	DERC_SELL	01/06/2012	05/01/2003	04/30/2004	HARRIS	D1255
<u>D3036</u>	NOX	23.10	DERC_BUY	12/30/3000	01/01/2008	12/31/2008	HARRIS	D2668
<u>D3038</u>	NOX	9.20	DERC_SELL	12/30/3000	01/01/2000	12/31/2000	HARRIS	D2895
<u>D3034</u>	NOX	29.40	DERC_BUY	12/30/3000	01/01/2006	12/31/2006	HARRIS	D2666
<u>D3031</u>	NOX	19.30	DERC_BUY	12/30/3000	01/01/2003	12/31/2003	HARRIS	D2663
<u>D3035</u>	NOX	20.90	DERC_BUY	12/30/3000	01/01/2007	12/31/2007	HARRIS	D2667
<u>D3009</u>	NOX	0.70	DERC_SELL	12/30/3000	05/01/2003	04/30/2004	HARRIS	D2996

ERC

Certificate Num	Cont Code	Avail Tons	Transaction Type	Active/Inactive	Gen Date	Exp Date	County	Parent Cert Num
<u>2178</u>	NOX	12.60	ERC_INTEN	01/24/2007	11/10/1999	11/10/2009	HARRIS	2108
<u>2834</u>	NOX	0.40	ERC_SELL	06/30/2015	06/09/2014	06/09/2019	HARRIS	2817
<u>2178</u>	NOX	12.60	ERC_BUY	01/24/2007	11/10/1999	11/10/2009	HARRIS	2108
<u>2616</u>	NOX	20.00	ERC_SELL	11/05/2012	12/05/2008	12/05/2013	JEFFERSON	2511
<u>2630</u>	NOX	20.00	ERC_BUY	11/05/2012	03/31/2009	03/31/2014	JEFFERSON	2626
<u>2262</u>	NOX	12.70	ERC_INTEN	05/01/2008	11/10/1999	11/10/2009	HARRIS	2243
<u>2178</u>	NOX	12.60	ERC_USE	01/24/2007	11/10/1999	11/10/2009	HARRIS	2108
<u>2196</u>	NOX	200.00	ERC_BUY	07/16/2009	04/11/2006	04/11/2011	JEFFERSON	2170
<u>2262</u>	NOX	12.70	ERC_USE	05/01/2008	11/10/1999	11/10/2009	HARRIS	2243
<u>2196</u>	NOX	200.00	ERC_SELL	07/16/2009	04/11/2006	04/11/2011	JEFFERSON	2170
<u>2458</u>	NOX	152.70	ERC_RET	05/01/2011	04/11/2006	04/11/2011	JEFFERSON	2420
<u>2616</u>	NOX	20.00	ERC_BUY	11/05/2012	12/05/2008	12/05/2013	JEFFERSON	2511
<u>2808</u>	NOX	5.80	ERC_BUY	01/05/2015	07/31/2014	07/31/2019	HARRIS	2806
<u>2621</u>	NOX	18.40	ERC_BUY	11/05/2012	06/22/2012	06/22/2017	HARRIS	2614
<u>2420</u>	NOX	181.00	ERC_RET	06/12/2010	04/11/2006	04/11/2011	JEFFERSON	2196

<u>ID</u>	<u>NOX</u>	<u>CO</u>	<u>ERC</u>	<u>SELL</u>	<u>06/12/2010</u>	<u>04/11/2006</u>	<u>04/11/2011</u>	<u>JEFFERSON</u>	<u>2196</u>
<u>2420</u>	NOX	184.00	ERC_SELL	06/12/2010	04/11/2006	04/11/2011	JEFFERSON	2196	
<u>2577</u>	NOX	11.80	ERC_SELL	01/11/2012	10/03/2007	10/03/2012	MONTGOMERY	2576	
<u>2565</u>	NOX	110.70	ERC_RET	04/19/2012	04/11/2006	04/11/2011	JEFFERSON	2458	
<u>2817</u>	NOX	10.40	ERC_SELL	01/08/2015	06/09/2014	06/09/2019	HARRIS	2791	
<u>2630</u>	NOX	20.00	ERC_SELL	11/05/2012	03/31/2009	03/31/2014	JEFFERSON	2626	
<u>2458</u>	NOX	152.70	ERC_SELL	05/01/2011	04/11/2006	04/11/2011	JEFFERSON	2420	
<u>2834</u>	NOX	0.40	ERC_RET	06/30/2015	06/09/2014	06/09/2019	HARRIS	2817	
<u>2817</u>	NOX	10.40	ERC_BUY	01/08/2015	06/09/2014	06/09/2019	HARRIS	2791	
<u>2621</u>	NOX	18.40	ERC_SELL	11/05/2012	06/22/2012	06/22/2017	HARRIS	2614	
<u>2262</u>	NOX	12.70	ERC_BUY	05/01/2008	11/10/1999	11/10/2009	HARRIS	2243	
<u>2577</u>	NOX	11.80	ERC_BUY	01/11/2012	10/03/2007	10/03/2012	MONTGOMERY	2576	
<u>2868</u>	NOX	0.30	ERC_RET	12/30/3000	06/09/2014	06/09/2019	HARRIS	2834	
<u>2808</u>	NOX	5.80	ERC_SELL	01/05/2015	07/31/2014	07/31/2019	HARRIS	2806	

Portfolio Detail

Portfolio Number: P3378
Portfolio Name: VA MEDICAL CENTER - RN100614734
Company Name: DEPARTMENT OF VETERANS AFFAIRS

Actual Allowances for Pollutant NOX

Balance/Year	2010	2011	2012	2013	2014	2015	2016
Penalty from last year	<u>-3</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Certified Allocation	<u>4</u>	<u>4</u>	<u>4</u>	<u>4</u>	<u>4</u>	<u>4</u>	<u>4</u>
Allowances for Offsets	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>
Future Trade In	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Future Trade Out	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>
Stream Traded In	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Stream Traded Out	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>
Current Traded In	<u>0</u>	<u>10</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Current Traded Out	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>
Total Reported Emissions	-5.9	-4.4	-2.6	-2.7	0	0	0
Current Used for Compliance	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>
Vintage From Previous Year	<u>0</u>	<u>0</u>	<u>9.6</u>	<u>1.4</u>	<u>1.3</u>	<u>0</u>	<u>0</u>
Vintage Traded In	<u>8</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Vintage Traded Out	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>
Vintage Used for Compliance	<u>-4.9</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>	<u>-0</u>
DERC Intent	0	0	0	0	0	0	0
DERC Use	0	0	0	0	0	0	0
ERC Converted to Allowances	0	0	0	0	0	0	0
CURRENT ALLOWANCE BALANCE	<u>0</u>	<u>9.6</u>	<u>1.4</u>	<u>1.3</u>	<u>4</u>	<u>4</u>	<u>4</u>
VINTAGE ALLOWANCE BALANCE	<u>3.1</u>	<u>0</u>	<u>9.6</u>	<u>1.4</u>	<u>1.3</u>	<u>0</u>	<u>0</u>

Flexible Allowances for Pollutant NOX

Balance	2010	2011	2012	2013	2014	2015	2016
Flexible Allowance	0	0	0	0	0	0	0
Penalty	0	0	0	0	0	0	0
Associated Annual Emissions	-0	-0	-0	-0	-0	-0	-0
BALANCE	0	0	0	0	0	0	0

Sb7 Allowance Banking Output and Trade

Portfolio Detail
Balance/Year

	2010	2011	2012	2013	2014	2015	2016
Previous Year Deficit	0	0	0	0	0	0	0
Allowances Remaining from Previous Year	0	0	0	0	0	0	0
Allocation	0	0	0	0	0	0	0
Current Traded In	0	0	0	0	0	0	0
Current Traded Out	-0	-0	-0	-0	-0	-0	-0
Stream Traded In	0	0	0	0	0	0	0
Stream Traded Out	-0	-0	-0	-0	-0	-0	-0
Total Reported Emissions	-0	-0	-0	-0	-0	-0	-0
Remaining Balance	0	0	0	0	0	0	0

DERC >

Certificate Num	Cont Code	Avail Tons	Transaction Type	Inactive Date	Gen Start Date	Gen End Date	County	Parent Cert Num
<u>D3192</u>	NOX	0.70	DERC_BUY	12/30/3000	05/01/2003	04/30/2004	HARRIS	D3009
<u>D3191</u>	NOX	2.20	DERC_BUY	12/30/3000	05/01/2003	04/30/2004	HARRIS	
<u>D3193</u>	NOX	4.10	DERC_BUY	12/30/3000	01/01/2000	12/31/2000	HARRIS	D3038



Texas Commission for Environmental Quality
Form DEC-4 (Page 1)
Application for Transfer of Discrete Emission Credits
(Title 30 Texas Administrative Code §§ 101.370 - 101.390)

Received

AUG 10 2015

Air Quality Division

410297

I. SELLER'S COMPANY IDENTIFYING INFORMATION			
A. Company Name: Koch Supply & Trading, LP			
Mailing Address: 4111 East 37 th Street North			
City: Wichita	State: KS	Zip Code: 67220	
Telephone: 316.828.7270	Fax:	E-mail:	
B. TCEQ Customer Identification Number (CN): BRK1019			
C. Plant/Site Name: N/A			
Street Address (If no street address, give driving directions in writing.):			
Nearest City:	Zip Code:	County:	
D. TCEQ Regulated Entity Number (RN):			
E. TCEQ Account Number (if applicable): P3034			
II. SELLER'S TECHNICAL CONTACT IDENTIFYING INFORMATION			
A. Contact Name: (☒ Mr. ☐ Mrs. ☐ Ms. ☐ Dr.) Colin Moore			
Contact Title: SVP Global Renewables			
Telephone: 713.544.5041	Fax:	E-Mail: colin.moore@kochind.com	
Mailing Address: 20 East Greenway Plaza, 8 th Floor			
City: Houston	State: TX	Zip Code: 77046	
III. SELLER'S CONTACT FOR SALE OF CERTIFICATE			
A. Contact Name: (☐ Mr. ☐ Mrs. ☒ Ms. ☐ Dr.) Mary Kate Barnthouse			
Contact Title: Accounting Supervisor			
Telephone: 316.828.7270	Fax:	E-Mail: marykate.barnthouse@kochind.com	
Mailing Address: 4111 East 37 th Street North			
City: Wichita	State: KS	Zip Code: 67220	
IV. BUYER'S COMPANY IDENTIFYING INFORMATION			
A. Company Name: Department of Veteran Affairs ✓			
Mailing Address: 2002 Holcombe Blvd.			
City: Houston	State: Texas	Zip Code: 77030	
Telephone: 713-794-8225	Fax:	E-Mail: George.thomas2@va.gov	
B. TCEQ Customer Identification Number (CN): CN600730238 ✓			
C. Plant/Site Name: VA Medical Center			
Street Address (If no street address, give driving directions in writing.): 2002 Holcombe Blvd.			
Nearest City: Houston ✓	Zip Code: 77030	County: Harris ✓	
D. TCEQ Regulated Entity Number (RN): RN100614734 ✓			
E. TCEQ Account Number (if applicable): HG4892J ✓			

P3378

HG892K (Inactive)



Texas Commission for Environmental Quality
Form DEC-4 (Page 1)
Application for Transfer of Discrete Emission Credits
(Title 30 Texas Administrative Code §§ 101.370 - 101.379)

V. BUYER'S TECHNICAL CONTACT IDENTIFYING INFORMATION			
A. Contact Name: (☒ Mr. ☐ Mrs. ☐ Ms. ☐ Dr.) Taylor DeLaFosse			
Contact Title: Consultant			
Telephone: 281-945-1914	Fax: 281-945-1923	E-Mail: <u>taylor.delafosse@acsengineering.com</u>	
Mailing Address: 16225 Park Ten Place Suite 110			
City: Houston	State: Texas	Zip Code: 77084	
VI. BUYER'S CONTACT FOR SALE OF CERTIFICATE			
A. Contact Name: (☒ Mr. ☐ Mrs. ☐ Ms. ☐ Dr.) Richard Moore			
Contact Title: Operations Manager			
Telephone: 281-970-5300	Fax:	E-Mail: <u>richard.moore@spawglass.com</u>	
Mailing Address: 13800 West Road			
City: Houston	State: Texas	Zip Code: 77041	
VII. DERCs TO BE TRANSFERRED			
Seller RN	RN100212620		Buyer RN
DERC Certificate	Pollutant (NO _x , VOC, HAP, CO, SO ₂ , PM ₁₀)	Transferred Amount (tons)	Retained Amount (tons)
D3008	NO _x	2.20	-
D3009	NO _x	0.70	-
D3038	NO _x	4.10	5.10
TOTAL		7.00	
VIII. PURCHASE PRICE			
*Price of the DERCs acquired or the expected price of the DERCs to be acquired: \$ <u>7,500.00</u> per ton (Required)			
Chapter 101 requires the price of DERCs to be publicly disclosed immediately after the transaction is finalized			
IX. CERTIFICATION BY RESPONSIBLE OFFICIAL			
I, <u>Mary Kate Barnhouse</u> , hereby certify as owner of the above listed discrete emission credits, these credits may be transferred to the listed buyer and that the information entered in this application is correct to the best of my knowledge and belief.			
Seller's Signature <u></u>		Signature Date <u>7/16/2015</u>	
Title <u>Accounting Supervisor</u>			
Buyer's Signature <u></u>		Signature Date <u>7-28-2015</u>	
Title <u>Operation Manager</u>			



16225 Park Ten Place, Suite 110

Houston, TX 77084

t.281.945.1922

acsengineering.com

July 14, 2015

TCEQ, Air Quality Division
Emissions Banking and Trading Program (MC-206)
P.O. Box 13087
Austin, TX 78711-3087

SpawGlass Construction Corporation is purchasing Discrete Emission Reduction Credits from Koch Supply & Trading, LP for the Department of Veteran Affairs VA Medical Center located in Houston, TX. Please find the attached DEC-4 form detailing the transaction of seven (7) NOx - MDERCs to be purchased for a total of \$52,500.

The transaction was brokered by Philip Ammirato of Evolution Markets, Inc., and the Koch Supply & Trading, LP contact is Ashley Mullin.

If there are any questions regarding this transaction, you may reach me directly at 281-945-1914 or at taylor.delafosse@acsengineering.com, Ashley Mullin at 316-828-8021 or at ashley.mullin@kochind.com, or Philip Ammirato at 914-323-0255 or at pammirato@evomarkets.com.

Sincerely,

Taylor DeLaFosse
Senior Project Manager
ACS Engineering

Attachments: TCEQ -- 10394 Form DEC-4

10 Bank Street, Suite 410
White Plains, NY 10606



RECEIVED

AUG 10 2015

TCEQ MAIL CENTER
JR

TCEQ, Air Quality Division
Emissions Banking & Trading Program (MC-206)
P.O. Box 13087
Austin, TX 78711-3087

Marie Mercado

From: Richard Moore <Richard.Moore@Spawglass.com>
Sent: Monday, August 17, 2015 7:52 AM
To: Marie Mercado
Subject: RE: Project 410297: Application for Transfer of Discrete Emission Credits (Form DEC-4)

George E. Thomas, PE *Not in Texas*
Acting Facilities Management Service Line Executive
Michael E. DeBakey VAMC
Office: 713.794.8225
Cell: 713.410.3567

Richard D. Moore
Operations Manager
SpawGlass Construction Corporation
281-970-5300 (office)
281-541-6087 (cell)
www.spawglass.com

From: Marie Mercado [<mailto:Marie.Mercado@tceq.texas.gov>]
Sent: Friday, August 14, 2015 10:56 AM
To: Moore, Colin (Colin.Moore@kochind.com)
Cc: taylor.delafosse@acsengineering.com; Richard Moore; George.thomas2@va.gov
Subject: Project 410297: Application for Transfer of Discrete Emission Credits (Form DEC-4)

Good Morning Mr. Moore,

Please provide the information for a company contact for the Department of Veteran Affairs, includes name, title, address, phone, and e-mail.

Thanks,

Marie Mercado, P.E.
Engineer III

Texas Commission on Environmental Quality
Emissions Trading and Banking Program, MC-206
Office of Air, Air Quality Division
PO BOX 13087
Austin, Texas 78711-3087
512.239.2054 (Direct)
512.239.6188
Marie.Mercado@tceq.texas.gov

Bryan W. Shaw, Ph.D., P.E., *Chairman*
Toby Baker, *Commissioner*
Richard A. Hyde, P.E., *Executive Director*



TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

Protecting Texas by Reducing and Preventing Pollution

August 18, 2015

Mr. George E. Thomas
Acting Facilities Management Service Line Executive
Michael E. DeBakey VAMC
Department of Veterans Affairs
2002 Holcombe Boulevard
Houston, Texas 77030

Re: Transfer of Nitrogen Oxides (NO_x) Discrete Emission Credits
Discrete Emission Credit Program
Customer Reference Number: CN600730238
Regulated Entity Reference Number: RN100614734

Dear Mr. Thomas:

This letter is in response to an Application for Transfer of Discrete Emission Credits (Form DEC-4) received from Koch Supply and Trading, LP, on August 10, 2015, project number 410297. The Texas Commission on Environmental Quality (TCEQ) approves the following transfer of NO_x discrete emission credits.

Certificate	Pollutant	Total Amount	Action	Company Name	Amount Traded	Price per Ton
D-3008	NO _x	2.2	Bought from	Koch Supply and Trading, LP	2.2	\$7,500
D-3038	NO _x	9.2	Bought from	Koch Supply and Trading, LP	4.1	\$7,500
D-3009	NO _x	0.7	Bought from	Koch Supply and Trading, LP	0.7	\$7,500

Listed below are the purchased certificates

DERC Certificate Number:	D-3191	D-3193	D-3192
Generator Certificate:	D-1255	D-1102	D-1255
Amount:	2.2	4.1	0.7
Pollutant:	NO _x	NO _x	NO _x
Generation Date:	May 1, 2003	January 1, 2000	May 1, 2003
County:	Harris	Harris	Harris
Owner:	Department of Veterans Affairs	Department of Veterans Affairs	Department of Veterans Affairs
Customer Reference Number	CN600730238	CN600730238	CN600730238
Regulated Entity Number:	RN100614734	RN100614734	RN100614734
EBT Portfolio Number:	P3378	P3378	P3378

Mr. George E. Thomas

Page 2

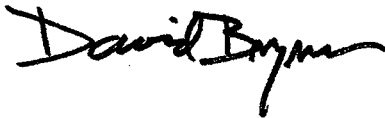
August 18, 2015

Visit the Credit and Allowance Registry to view additional information about this transfer and other projects at <http://www2.tceq.texas.gov/airperm/index.cfm?fuseaction=banking.start>.

If you have questions concerning this transfer or need further assistance regarding the banking program, please contact Ms. Marie Mercado, P.E., at (512) 239-2054 or write to the Texas Commission on Environmental Quality, Office of Air, Air Quality Division (MC-206), PO Box 13087, Austin, Texas 78711-3087.

This action is taken under authority delegated by the executive director of the TCEQ.

Sincerely,



David Brymer, Director
Air Quality Division

DB/MM/jcm

cc: Air Section Manager, Region 12 – Houston

Mr. Arturo J. Blanco, Bureau Chief of Pollution Control & Prevention, Environmental Health Division, City of Houston

Mr. Bob Allen, Director, Harris County Pollution Control Services Department, Harris County

Mr. Taylor DeLaFosse, Consultant, ACS Engineering, Inc., Houston

Banking and Trading Route Slip

AIR QUALITY DIVISION Emissions Banking and Trading Program (EBTP)				
Company Name: <i>Koch Supply & Trading, LP</i> <i>BKR1019</i> <i>Department of Veteran Affairs VA Medical Center</i>				
Project Number: <i>410297</i>				
Type of Letter Correspondence: <i>DCTS ; DCTB</i>				
Letter Document Number(s): <i>25158 ; 25159</i>				
Certificate Number(s): <i>D3008 D3009 D3038</i> <i>D3191 D3192 D3193 D3194</i>				
Review and Approval	Initial and Date	Comments/Special Instructions		
Deric Patton, Work Lead EBTP	<i>/</i>	<i>/</i>		
Author/Creator Review	<i>MM</i> <i>8/18/15</i>			
Peer Review Completed	<i>JCM</i> <i>8/18/15</i>			
Author/Creator	<i>MM</i> <i>8/18/15</i>	<i>GW</i> <i>Issues*</i>	Copies Made	Date
			<i>MM</i>	<i>8/18</i>
			Mailed	Date
			<i>MM</i>	<i>8/18</i>
Please return Routing Slip and Project Paperwork to Deric Patton, MC-206, Ext. 3159				

**I will enter into GW when GW is working.*

Mani

C
8/18/15
(MM)