

AIR DERC_100214287-406800_
TR_20120319_Trade_D1089

03/19/2012 ----- EBTP IMS- PROJECT RECORD -----

PROJECT#: 406800 STATUS: P
RECEIVED: 03/14/2012 PROJTYPE: BTSF

DISP CODE: C✓

ISSUED DT:

SUP-DISP DATE:

3/19/2012**STAFF ASSIGNED TO PROJECT:**

RUANO, MELISSA

PROJECT NOTES:

ELECTRONIC COPY RECEIVED 3/14/2012.

ORIGINAL FORM RECIEVED 3/16/2012

GROUPWISE DOC: DCTS/DCTB 18214 (SAME LETTER FOR SELLER AND BUYER)

PROJECT TRANSACTIONS**COMPANY DATA**

COMPANY NAME: SOUTHWESTERN ELECTRIC POWER COMPANY

CUSTOMER REGISTRY ID: CN600126767

PORTFOLIO DATA

NUMBER: P3047 NAME: PIRKEY POWER STATION HH0037F

SITE DATA

ACCOUNT:

HH0037F

REG ENTITY ID: RN100214287

SITE NAME: AEP PIRKEY POWER PLANT

COUNTY: HARRISON

NEAREST CITY: HALLSVILLE

LOCATION: 2400 FARM ROAD 3251, HALLSVILLE, TX

File with:

D1089

D2886

CONTACT DATA

NAME: KIMBERLY HUGHES

TITLE: AIR QUALITY ENGINEER

STREET: 1201 ELM STREET SUITE 800 CITY/STATE, ZIP: DALLAS, TX , 75270-0

FAX: 214-777-1138 ext 0

PHONE: 214-777-1155 ext 0

Email: kmhughes@aep.com

TRANSACTION DATA

TRANSACTION TYPE: DERC_SELL

DATE ENTERED: 2012-03-15 00:00:00.0

DELETED DATE:

EFFECTIVE YEAR:

CONTAMINATE: NOX

TONS: 4041.30

DOLLARS: 0

ALLOWANCE

CERTIFICATE NO.: D1089 COUNTY : HARRISON

COMPANY DATA

COMPANY NAME: SOUTHWESTERN ELECTRIC POWER COMPANY

CUSTOMER REGISTRY ID: CN600126767

PORTFOLIO DATA

NUMBER: P3518 NAME: SOUTHWESTERN ELECTRIC - EAST CENTRAL SYSTEM CAP

SITE DATA

ACCOUNT:

REG ENTITY ID:

SITE NAME: SOUTHWESTERN ELECTRIC POWER COMPANY EAST AND CENTRAL SYSTEM CAP

COUNTY: HARRISON

NEAREST CITY:

LOCATION: EAST AND CENTRAL SYSTEM CAP

CONTACT DATA

NAME: KIMBERLY HUGHES

TITLE: AIR QUALITY ENGINEER

STREET: 1201 ELM STREET SUITE 800 CITY/STATE, ZIP: DALLAS, TX , 75270-0

FAX: 214-777-1138 ext 0

PHONE: 214-777-1155 ext 0

Email: kmhughes@aep.com

TRANSACTION DATA

TRANSACTION TYPE: DERC_BUY

DATE ENTERED: 2012-03-19 00:00:00.0

DELETED DATE:

EFFECTIVE YEAR:

CONTAMINATE: NOX

TONS: 4041.30

DOLLARS: 0

ALLOWANCE

CERTIFICATE NO.: D2886 COUNTY : HARRISON

TRACKING ACTIVITIESTR - PROJ TECH
COMPLETE :

03/19/2012

TR - ENGINEER RECEIVE
PROJECT :

03/19/2012

TR - SUP/MANGR
APP/RVW RQSTD :

FA - PROJECT ISSUED :

TR - DATE SUP/MNGR
REQ ADDL TR :



I. SELLER'S COMPANY IDENTIFYING INFORMATION			
A. Company Name: Southwestern Electric Power Company			
Mailing Address: P.O. Box 660164			
City: Dallas		State: TX	Zip Code: 75266-0164
Telephone:	Fax:	E-mail:	
B. TCEQ Customer Identification Number (CN): 600126767			
C. Plant/Site Name: Pirkey Power Plant			
Street Address (If no street address, give driving directions in writing.): 2400 FM 3251			
Nearest City: Hallsville		Zip Code: 75650-9448	County: Harrison
D. TCEQ Regulated Entity Number (RN): RN100214287			
E. TCEQ Account Number (if applicable): HH-0037-F			
II. SELLER'S TECHNICAL CONTACT IDENTIFYING INFORMATION			
A. Contact Name: (<input type="checkbox"/> Mr. <input checked="" type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Dr.) Kimberly Hughes			
Contact Title: Air Quality Engineer			
Telephone: 214-777-1155	Fax: 214-777-1138	E-Mail: kmhughes@aep.com	
Mailing Address: P.O. Box 660164 1201 Elm Street, Suite 800 → Per Kimberly Hughes (mre)			
City: Dallas		State: TX	Zip Code: 75266-0164 75270
III. SELLER'S CONTACT FOR SALE OF CERTIFICATE			
A. Contact Name: (<input checked="" type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Dr.) Drew Seidel			
Contact Title: Plant Manager			
Telephone: 903/927-5862	Fax:	E-Mail: dwseidel@aep.com	
Mailing Address: 2400 FM 3251			
City: Hallsville		State: TX	Zip Code: 75650-9448
IV. BUYER'S COMPANY IDENTIFYING INFORMATION			
A. Company Name: Southwestern Electric Power Company			
Mailing Address: P.O. Box 660164			
City: Dallas		State: TX	Zip Code: 75266-0164
Telephone:	Fax:	E-Mail:	
B. TCEQ Customer Identification Number (CN): 600126767			
C. Plant/Site Name: N/A (Transfer to company portfolio - SWEPCO East and Central System Cap)			
Street Address (If no street address, give driving directions in writing.): P.O. Box 660164			
Nearest City: Dallas		Zip Code: 75266-0164	County:
D. TCEQ Regulated Entity Number (RN): Portfolio No. 3518			
E. TCEQ Account Number (if applicable):			



Texas Commission for Environmental Quality
Form DEC-4 (Page 1)
Application for Transfer of Discrete Emission Credits
(Title 30 Texas Administrative Code §§ 101.370 - 101.379)

V. BUYER'S TECHNICAL CONTACT IDENTIFYING INFORMATION

A. Contact Name: (☐ Mr. ☒ Mrs. ☐ Ms. ☐ Dr.) Kimberly Hughes

Contact Title: Air Quality Engineer

Telephone: 214-777-1155

Fax: 214-777-1138

E-Mail: kmhughes@aep.com

Mailing Address: P.O. Box 660164

City: Dallas

State: TX

Zip Code: 75266-0164

VI. BUYER'S CONTACT FOR SALE OF CERTIFICATE

A. Contact Name: (☒ Mr. ☐ Mrs. ☐ Ms. ☐ Dr.) Paul Franklin

Contact Title: VP, SWEPCO Generating Assets

Telephone: 903/927-5870

Fax: 903/927-5840

E-Mail: pwfranklin@aep.com

Mailing Address: 2400 FM 3251

City: Hallsville

State: TX

Zip Code: 75650-9448

VII. DERCs TO BE TRANSFERRED

Seller RN RN100214287

Buyer RN Portfolio No. 3518

DERC Certificate	Pollutant (NO _x , VOC, HAP, CO, SO ₂ , PM ₁₀)	Transferred Amount (tons)	Retained Amount (tons)
D-1089	NO _x	4041.3 ✓	0

VIII. PURCHASE PRICE

*Price of the DERCs acquired or the expected price of the DERCs to be acquired: \$ 0 (internal transfer) per ton (Required)

Chapter 101 requires the price of DERCs to be publicly disclosed immediately after the transaction is finalized

IX. CERTIFICATION BY RESPONSIBLE OFFICIAL

I, Paul Franklin, hereby certify as owner of the above listed discrete emission credits, these credits may be transferred to the listed buyer and that the information entered in this application is correct to the best of my knowledge and belief.

Seller's Signature Paul Franklin Signature Date 3-7-12

Title VP, SWEPCO Generating Assets

Buyer's Signature Paul Franklin Signature Date 3-7-12

Title VP, SWEPCO Generating Assets

American Electric Power
P. O. Box 660164
Dallas, TX 75266-0164
www.AEP.com



March 14, 2012

CERTIFIED MAIL

RETURN RECEIPT REQUESTED

7009 2250 0004 0730 4067

Texas Commission on Environmental Quality
Emission Banking and Trading Program MC 206
ATTN: Melissa Ruano
PO BOX 13087
Austin, TX 78711-3087

Re: Chapter 117 NOx System Cap: Transfer of DERCs for 2012 Control Period
American Electric Power
Southwestern Electric Power Company (SWEPCO)
TCEQ Customer No. CN600126767

Dear Ms. Ruano:

In accordance with the requirements of 30 TAC §101.376 (Discrete Emission Credit Use) and §117.3020 (relating to East Texas Electric Utility Generation System Cap), SWEPCO is submitting the following TCEQ forms:

- Form DEC-4, "Application for Transfer of Discrete Emission Credits"

SWEPCO complies with the requirement of the NOx System Cap outlined in Chapter 117, Subchapter E, Division 1. SWEPCO is projected to exceed its established System Cap allocation of 16,084 NOx tons for the year 2012 control period.

This submission is on behalf of SWEPCO, a wholly owned subsidiary of American Electric Power, Inc. (AEP). Should you have any questions or require additional information, please call me at (214) 777-1155 or kmhughes@aep.com.

Sincerely,

A handwritten signature in black ink that reads "Kimberly Hughes". The signature is written in a cursive, flowing style.

Kimberly M. Hughes, P.E.
Air Quality Engineer
AEP Environmental Services

Enclosures

xc: Paul Franklin, Pirkey Power Station – w/encl.
File: SEP.10.90.40.10.2012

**CTRIC
VER**

can Electric Power
x 660164
TX 75266-0164



7009 2250 0004 0730 4067



UNITED STATES
PITNEY BOWES
02 1R
0002099346
\$ 06.40⁰
MAR 14 2012
MAILED FROM ZIP CODE 75039

Kimberly M Hughes, P.E., RT-ENV

RETURN RECEIPT REQUIRED

RECEIVED
MAR 16 2012
TCEQ MAIL CENTER
MM

Kimberly M Hughes, P.E.
Dallas, RT - ENV



American Electric Power
P.O. Box 660164
Dallas, TX 75266-0164

Texas Commission on Environmental Quality
Emission Banking & Trading Program
Attn: Melissa Ruano, MC 206
P. O. Box 13087
Austin, TX 78711-3087

AEP: America's Energy Partner



Texas Commission for Environmental Quality
Form DEC-4 (Page 1)
Application for Transfer of Discrete Emission Credits
(Title 30 Texas Administrative Code §§ 101.370 - 101.379)

406800 MR

E-MAILED
3/14/12

I. SELLER'S COMPANY IDENTIFYING INFORMATION			
A. Company Name: Southwestern Electric Power Company ✓			
Mailing Address: P.O. Box 660164			
City: Dallas		State: TX	Zip Code: 75266-0164
Telephone:	Fax:	E-mail:	
B. TCEQ Customer Identification Number (CN): 600126767 ✓			
C. Plant/Site Name: Pirkey Power Plant			
Street Address (If no street address, give driving directions in writing.): 2400 FM 3251			
Nearest City: Hallsville		Zip Code: 75650-9448	County: Harrison
D. TCEQ Regulated Entity Number (RN): RN100214287 ✓ 73047			
E. TCEQ Account Number (if applicable): HH-0037-F ✓			
II. SELLER'S TECHNICAL CONTACT IDENTIFYING INFORMATION			
A. Contact Name: (<input type="checkbox"/> Mr. <input checked="" type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Dr.) Kimberly Hughes			
Contact Title: Air Quality Engineer			
Telephone: 214-777-1155		Fax: 214-777-1138	E-Mail: kmhughes@aep.com
Mailing Address: P.O. Box 660164			
City: Dallas		State: TX	Zip Code: 75266-0164
III. SELLER'S CONTACT FOR SALE OF CERTIFICATE			
A. Contact Name: (<input checked="" type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Dr.) Drew Seidel			
Contact Title: Plant Manager			
Telephone: 903/927-5862		Fax:	E-Mail: dwseidel@aep.com
Mailing Address: 2400 FM 3251			
City: Hallsville		State: TX	Zip Code: 75650-9448
IV. BUYER'S COMPANY IDENTIFYING INFORMATION			
A. Company Name: Southwestern Electric Power Company ✓			
Mailing Address: P.O. Box 660164			
City: Dallas		State: TX	Zip Code: 75266-0164
Telephone:	Fax:	E-Mail:	
B. TCEQ Customer Identification Number (CN): 600126767			
C. Plant/Site Name: N/A (Transfer to company portfolio - SWEPCO East and Central System Cap) ✓			
Street Address (If no street address, give driving directions in writing.): P.O. Box 660164			
Nearest City: Dallas		Zip Code: 75266-0164	County:
D. TCEQ Regulated Entity Number (RN): Portfolio No. 3518 ✓			
E. TCEQ Account Number (if applicable):			



Texas Commission for Environmental Quality
Form DEC-4 (Page 1)
Application for Transfer of Discrete Emission Credits
(Title 30 Texas Administrative Code §§ 101.370 - 101.379)

E-MAILED
3/14/12

V. BUYER'S TECHNICAL CONTACT IDENTIFYING INFORMATION			
A. Contact Name: (<input type="checkbox"/> Mr. <input checked="" type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Dr.) Kimberly Hughes			
Contact Title: Air Quality Engineer			
Telephone: 214-777-1155	Fax: 214-777-1138	E-Mail: kmhughes@aep.com	
Mailing Address: P.O. Box 660164			
City: Dallas	State: TX	Zip Code: 75266-0164	
VI. BUYER'S CONTACT FOR SALE OF CERTIFICATE			
A. Contact Name: (<input checked="" type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Dr.) Paul Franklin			
Contact Title: VP, SWEPCO Generating Assets			
Telephone: 903/927-5870	Fax: 903/927-5840	E-Mail: pwfranklin@aep.com	
Mailing Address: 2400 FM 3251			
City: Hallsville	State: TX	Zip Code: 75650-9448	
VII. DERCs TO BE TRANSFERRED			
Seller RN	RN100214287	Buyer RN	Portfolio No. 3518
DERC Certificate	Pollutant (NO _x , VOC, HAP, CO, SO ₂ , PM ₁₀)	Transferred Amount (tons)	Retained Amount (tons)
D-1089	NO _x	4041.3	0
VIII. PURCHASE PRICE			
*Price of the DERCs acquired or the expected price of the DERCs to be acquired: \$ 0 (internal transfer) per ton (Required)			
Chapter 101 requires the price of DERCs to be publicly disclosed immediately after the transaction is finalized			
IX. CERTIFICATION BY RESPONSIBLE OFFICIAL			
I, <u>Paul Franklin</u> , hereby certify as owner of the above listed discrete emission credits, these credits may be transferred to the listed buyer and that the information entered in this application is correct to the best of my knowledge and belief.			
Seller's Signature <u>Paul Franklin</u>		Signature Date <u>3-7-12</u>	
Title <u>VP, SWEPCO Generating Assets</u>			
Buyer's Signature <u>Paul Franklin</u>		Signature Date <u>3-7-12</u>	
Title <u>VP, SWEPCO Generating Assets</u>			

American Electric Power
P. O. Box 660164
Dallas, TX 75266-0164
www.AEP.com

E-MAILED
3/14/12



March 14, 2012

CERTIFIED MAIL
RETURN RECEIPT REQUESTED 7009 2250 0004 0730 4067

Texas Commission on Environmental Quality
Emission Banking and Trading Program MC 206
ATTN: Melissa Ruano
PO BOX 13087
Austin, TX 78711-3087

Re: Chapter 117 NOx System Cap: Transfer of DERCs for 2012 Control Period
American Electric Power
Southwestern Electric Power Company (SWEPCO)
TCEQ Customer No. CN600126767

Dear Ms. Ruano:

In accordance with the requirements of 30 TAC §101.376 (Discrete Emission Credit Use) and §117.3020 (relating to East Texas Electric Utility Generation System Cap), SWEPCO is submitting the following TCEQ forms:

- Form DEC-4, "Application for Transfer of Discrete Emission Credits"

SWEPCO complies with the requirement of the NOx System Cap outlined in Chapter 117, Subchapter E, Division 1. SWEPCO is projected to exceed its established System Cap allocation of 16,084 NOx tons for the year 2012 control period.

This submission is on behalf of SWEPCO, a wholly owned subsidiary of American Electric Power, Inc. (AEP). Should you have any questions or require additional information, please call me at (214) 777-1155 or kmhughes@aep.com.

Sincerely,

A handwritten signature in cursive script that reads 'Kimberly Hughes'.

Kimberly M. Hughes, P.E.
Air Quality Engineer
AEP Environmental Services

Enclosures

xc: Paul Franklin, Pirkey Power Station – w/encl.
File: SEP.10.90.40.10.2012

Melissa Ruano - 2012 SWEPCO Form for Transfer of DERCs

From: <kmhughes@aep.com>
To: "Melissa Ruano" <Melissa.Ruano@tceq.texas.gov>
Date: 3/14/2012 10:48 AM
Subject: 2012 SWEPCO Form for Transfer of DERCs
Attachments: 2012 SWEPCO Transfer of DERCs Letter and Form.pdf

Hi Melissa,

Attached please find the scanned copy of our Form-4 and cover letter for the transfer of DERCs for the 2012 Control Period. The original form will go in the mail to you today.

Thanks,

Kimberly M. Hughes, P.E. | [American Electric Power](#) | Environmental Services | ☎: 214.777.1155 | 📠:
214.777.1138 | ✉: kmhughes@aep.com

Bryan W. Shaw, Ph.D., *Chairman*
Buddy Garcia, *Commissioner*
Carlos Rubinstein, *Commissioner*
Mark R. Vickery, P.G., *Executive Director*



TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

Protecting Texas by Reducing and Preventing Pollution

March 20, 2012

Ms. Kimberly Hughes, P.E.
Air Quality Engineer
Southwestern Electric Power Company
1201 Elm Street, Suite 800
Dallas, Texas 75270

Re: Transfer of Discrete Emission Reduction Credits
AEP Pirkey Power Plant and Southwestern Electric Power Company East and Central
System Cap
Customer Reference Number: CN600126767
Portfolio Numbers: P3047 and P3518

Dear Ms. Hughes:

This letter is in response to a Form DEC-4 (Application for Transfer of Discrete Emission Credits) that was received on March 14, 2012, regarding the transfer of Discrete Emission Reduction Credits (DERC). We understand that the AEP Pirkey Power Plant, portfolio number P3047, has transferred 4,041.3 tons of nitrogen oxides (NO_x) DERCs from DERC Certificate Number D-1089 to the Southwestern Electric Power Company East and Central System Cap, portfolio number P3518.

The 4,041.3 tons of NO_x DERCs from Certificate D-1089 have been transferred to new DERC Certificate, D-2886, and will be used to process Southwestern Electric Power Company's intent to use DERCs request (project number 406317). Certificate D-1089 is now canceled.

Thank you for your cooperation in this matter. If you have questions concerning this transaction, please contact Ms. Melissa Ruano at (512) 239-4496, or write to the Texas Commission on Environmental Quality, Chief Engineer's Office, Air Quality Division (MC-206), PO Box 13087, Austin, Texas.

This action is taken under authority delegated by the Executive Director of the Texas Commission on Environmental Quality.

Sincerely,

A handwritten signature in black ink that reads "David Brymer".

David Brymer, Director
Air Quality Division

DB/MR

Ms. Kimberly Hughes, P.E.

Page 2

March 20, 2012

cc: Air Section Managers, Region 5 - Tyler

Project Number: 406800

Banking and Trading Route Slip

AIR QUALITY DIVISION Emissions Banking and Trading Program (EBTP)											
Company Name: Southwestern Electric Power Company											
Project Number: 406800											
Type of Letter Correspondence: DCTS/DCTB											
Letter Document Number(s): 18214											
Certificate Number(s):											
Review and Approval	Initial and Date	Comments/Special Instructions									
Chance Goodin, Team Lead Stationary Source Programs	BG 3/19/12 for 406317	minor edit / date ✓ done MR									
Brandon Greulich, Work Lead EBTP	BG 3/19/12	closed early on 3/19/12 for 406317									
Author/Creator Review	/										
Peer Review Completed	/										
Author/Creator	MR 3/19/12		<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr style="background-color: #cccccc;"> <th style="width: 50%; padding: 2px;">Copies Made</th> <th style="width: 50%; padding: 2px;">Date</th> </tr> </thead> <tbody> <tr> <td style="padding: 2px;">MR</td> <td style="padding: 2px;">3/20/12</td> </tr> <tr style="background-color: #cccccc;"> <th style="padding: 2px;">Mailed</th> <th style="padding: 2px;">Date</th> </tr> <tr> <td style="padding: 2px;">MR</td> <td style="padding: 2px;">3/20/12</td> </tr> </tbody> </table>	Copies Made	Date	MR	3/20/12	Mailed	Date	MR	3/20/12
Copies Made	Date										
MR	3/20/12										
Mailed	Date										
MR	3/20/12										
Please return Routing Slip and Project Paperwork to Brandon Greulich, MC-206, Ext. 4904											

* please close this project early so that I
 can complete Southwestern Intent project
 #406317 Done BG 3/19/12 Thanks!
 MR