

AIR MECT\_100211069-410617\_  
TR\_20160527\_Trades\_P3559

**04/25/2016 ----- EBTP IMS- PROJECT RECORD -----**

PROJECT#: 410617 STATUS: P  
RECEIVED: 02/01/2016 PROJTYPE: BTSF

DISP CODE: C ✓  
ISSUED DT:  
SUP-DISP DATE: 4/25/16

**STAFF ASSIGNED TO PROJECT:**

MERCADO, PE, MARIE

**PROJECT NOTES:**

PROJECT HOLD: MUST CONFIRM THAT THERE ARE SUFFICIENT ALLOWANCES FOR THE 2014 EMISSIONS IN PROJECT 410114 CONFIRMED 04/25/2016.

**PROJECT TRANSACTIONS****COMPANY DATA**

COMPANY NAME: GALVESTON BAY ENERGY LLC  
CUSTOMER REGISTRY ID: CN603938309

**PORTFOLIO DATA**

NUMBER: P3559 NAME: GALVESTON BAY - TRINITY BAY F-1 - RN100211069

**SITE DATA**

ACCOUNT: CI0177Q  
REG ENTITY ID: RN100211069  
SITE NAME: TRINITY BAY F-1 PLATFORM  
COUNTY: CHAMBERS NEAREST CITY: BAYTOWN  
LOCATION: TRINITY BAY, BAYTOWN

**CONTACT DATA**

NAME: CRAIG ALEXANDER TITLE: VICE PRESIDENT OF OPERATIONS  
STREET: 800 GESSNER ROAD, SUITE 375 CITY/STATE, ZIP: HOUSTON, TX , 77024-0  
PHONE: 713-970-1597 ext 0  
Email: calexander@duma.com

**TRANSACTION DATA**

TRANSACTION TYPE: CAP\_SELL ALLOWANCE TYPE: VINTAGE  
EFFECTIVE YEAR: 2014 TON: 11.80  
POLLUTANT CODE: NOX

**COMPANY DATA**

COMPANY NAME: GALVESTON BAY ENERGY LLC  
CUSTOMER REGISTRY ID: CN603938309

**PORTFOLIO DATA**

NUMBER: P3561 NAME: GALVESTON BAY - N POINT BOLIVAR - RN102198058

**SITE DATA**

ACCOUNT: GB0044W

REG ENTITY ID: RN102198058

SITE NAME: NORTH POINT BOLIVAR FIELD

COUNTY: GALVESTON

NEAREST CITY: PORT BOLIVAR

LOCATION: 12TH &amp; INTERCOASTAL CANAL GOAT ISLAND

**CONTACT DATA**

NAME: CRAIG ALEXANDER

TITLE: VICE PRESIDENT OF OPERATIONS

STREET: 800 GESSNER ROAD, SUITE 375 CITY/STATE, ZIP: HOUSTON, TX , 77024-0

PHONE: 713-970-1597 ext 0

Email: calexander@duma.com

**TRANSACTION DATA**

TRANSACTION TYPE: CAP\_BUY

ALLOWANCE TYPE: VINTAGE

EFFECTIVE YEAR: 2014

TON: 11.80

POLLUTANT CODE: NOX

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**TRACKING ACTIVITIES**

PROJECT

SUBMITTED :

01/29/2016 PROJECT HOLD :

02/22/2016 03/25/2016 PM RECEIVED DATE : 02/22/2016

PROJECT COMPLETED : 5/27/16

# Banking Cap and Trade

## Portfolio Balance for P3559

### Actual Allowances for NOX

Balance/Year	2010	2011	2012	2013	2014	2015	2016
<b>Current Balance</b>	-45.10	-55.50	0	5.70	0	0	0

### Vintage Balances for NOX

Balance/Year	2010	2011	2012	2013	2014	<u>2015</u>	2016
<b>Current Balance</b>	0	0	2.80	4.90	34.40	<u>27.50</u>	0

### Flex permits Allowances for NOX

Balance/Year	2010	2011	2012	2013	2014	2015	2016
<b>Current Balance</b>	0	0	0	0	0	0	0

### Pending Projects

Proj_num	Xaction Type
<u>410114</u>	CAP_RPT CAP_VINT

### Pseudocally Closed Projects

Proj_num	Xaction Type
<u>410614</u>	CAP_SELL
<u>410616</u>	CAP_SELL
<u>410617</u>	CAP_SELL
<u>410615</u>	CAP_SELL



**Texas Commission on Environmental Quality**  
**Application for Transfer of Allowances**  
**Form ECT-2 (Page 1)**

**Received**

FEB 11 2016

**Air Quality Division**

<b>Seller's Company Information</b> 410617		
Company Name: Galveston Bay Energy, LLC		
Mailing Address: 800 Gessner Rd, Suite 375		
City: Houston	State: TX	ZIP Code: 77024
Telephone Number: 713-970-1590		
TCEQ Customer Reference Number (CN): CN603938309		
<b>Seller's Site Information</b>		
Site Name: Trinity Bay F-1 Platform		
Street Address: Approximately 20 miles south of Baytown on Trinity Bay		
Nearest City: Baytown	County: Chambers	ZIP Code : 77530
TCEQ Regulated Entity Reference Number (RN): RN100211069		
TCEQ Air Account Number (if applicable): CI-0177-Q		
Portfolio Number: P3559		
<b>Seller's Technical Contact Information</b>		
(Mr. <input checked="" type="checkbox"/> Ms. <input type="checkbox"/> Dr. <input type="checkbox"/> ) Technical Contact Name: Craig Alexander		
Title: Vice President of Operations		
Mailing Address: 800 Gessner Rd, Suite 375		
City: Houston	State: TX	ZIP Code: 77024
Telephone Number: 713-970-1597	E-Mail Address: calexander@duma.com	
<b>Seller's Contact Information</b>		
(Mr. <input checked="" type="checkbox"/> Ms. <input type="checkbox"/> Dr. <input type="checkbox"/> ) Company Contact Name: Craig Alexander		
Title: Vice President of Operations		
Mailing Address: : 800 Gessner Rd, Suite 375		
City: Houston	State: TX	ZIP Code: 77024
Telephone Number: 713-970-1597	E-Mail Address: calexander@duma.com	
<b>Buyer's Company Information</b>		
Company Name: Galveston Bay Energy, LLC		
Mailing Address: 800 Gessner Rd, Suite 375		
City: Houston	State: TX	ZIP Code: 77024
Telephone Number: 713-970-1590		
TCEQ Customer Reference Number (CN): CN603938309		



# Texas Commission on Environmental Quality

## Application for Transfer of Allowances Form ECT-2 (Page 2)

### Buyer's Site Information

Site Name: North Point Bolivar Facility

Street Address: 12TH & INTERCOASTAL CANAL GOAT ISLAND

Nearest City: Point Bolivar

County: Galveston

ZIP Code: 77650

TCEQ Regulated Entity Reference Number (RN): RN102198058

TCEQ Air Account Number (if applicable): GB-0044-W

Portfolio Number: P3561

### Buyer's Technical Contact Information

(Mr. ☒ Ms. ☐ Dr. ☐) Technical Contact Name: Craig Alexander

Title: Vice President of Operations

Mailing Address: 800 Gessner Rd, Suite 375

City: Houston

State: TX

ZIP Code: 77024

Telephone Number: 713-970-1597

E-Mail Address: calexander@duma.com

### Buyer's Contact Information

(Mr. ☒ Ms. ☐ Dr. ☐) Company Contact Name: Craig Alexander

Title: Vice President of Operations

Mailing Address: 800 Gessner Rd, Suite 375

City: Houston

State: TX

ZIP Code: 77024

Telephone Number: 713-970-1597

E-Mail Address: calexander@duma.com

### Allowances to be Transferred

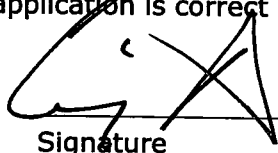
Seller's Portfolio Number: P3559

Buyer's Portfolio Number: P3561

Pollutant	Allocation Year	For Use in Control Period	Amount Traded (tons)	Purchase Price (\$/ton)
NOx	2014	2015	11.8	\$0

### Authorized Signature

I, Craig Alexander, hereby certify that Galveston Bay Energy, LLC is the owner of the above listed allowances these allowances may be transferred to the listed buyer, and that information entered in this application is correct to the best of my knowledge and belief.

  
Signature

Vice President of Operations

Title

1/28/16

Date

Bryan W. Shaw, Ph.D., P.E., *Chairman*  
Toby Baker, *Commissioner*  
Jon Niermann, *Commissioner*  
Richard A. Hyde, P.E., *Executive Director*



## TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

*Protecting Texas by Reducing and Preventing Pollution*

May 27, 2016

Mr. Craig Alexander  
Vice President of Operations  
Galveston Bay Energy, LLC  
800 Gessner Road, Suite 375  
Houston, Texas 77024

Re: Transfer of Nitrogen Oxides (NO<sub>x</sub>) Allowances  
Mass Emissions Cap and Trade (MECT) Program  
Customer Reference Number: CN603938309  
Regulated Entity Reference Number: RN100211069

Dear Mr. Alexander:

This letter is in response to an Application for Transfer of Allowances (Form ECT-2) received from Galveston Bay Energy, LLC, on February 1, 2016, project number 410617. The Texas Commission on Environmental Quality (TCEQ) acknowledges the following ECT-2 form(s) transferring NO<sub>x</sub> allowances.

Project Status	Received	Project	Trade Type	Allocation Year	Action	Company Name	Amount Traded	Price Per Ton
Approved	2/1/2016	410617	Vintage	2014	Sold to	Galveston Bay Energy, LLC	11.8	\$0.00

The portfolio summary listed below reflects allowances available for the specified control period. When the TCEQ deducts allowances for compliance at the end of the control period, current allowances are used first, and then vintage allowances.

Control Period	Portfolio	Company Name	Site or Broker Name	Vintage	Current
2015	P3559	Galveston Bay Energy, LLC	Trinity Bay F-1 Platform	27.5	0.0

Visit the Credit and Allowance Registry to view additional information about this transfer and other projects at [http://www2.tceq.texas.gov/airperm/index.cfm?fuseaction=ebt\\_dpa.start](http://www2.tceq.texas.gov/airperm/index.cfm?fuseaction=ebt_dpa.start).

If you have questions concerning this transfer or need further assistance regarding the banking program, please contact Ms. Marie Mercado, P.E., at (512) 239-2054 or write to the Texas Commission on Environmental Quality, Office of Air, Air Quality Division (MC-206), PO Box 13087, Austin, Texas 78711-3087.

Mr. Craig Alexander  
Page 2  
May 27, 2016

This action is taken under authority delegated by the executive director of the TCEQ.

Sincerely,

A handwritten signature in black ink, appearing to read "David Brymer". The signature is fluid and cursive, with the first name "David" being more prominent than the last name "Brymer".

David Brymer, Director  
Air Quality Division

DB/MM/jm

cc: Air Section Manager, Region 12 – Houston

## Marie Mercado

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**From:** Marie Mercado  
**Sent:** Friday, May 27, 2016 1:24 PM  
**To:** 'calexander@duma.com' (calexander@duma.com)  
**Cc:** 'jbins@trinityconsultants.com'; Mark Muldoon  
**Subject:** TCEQ EBT Documents - MECT Trades - Trinity F-1 Platform  
**Attachments:** 410614 CTAS Galveston Bay Energy, LLC Trinity Bay F-1 Platform\_RN100211069\_P3559.pdf; 410615 CTAS Galveston Bay Energy, LLC Trinity Bay F-1 Platform\_RN100211069\_P3559.pdf; 410616 CTAS Galveston Bay Energy, LLC Trinity Bay F-1 Platform\_RN100211069\_P3559.pdf; 410617 CTAS Galveston Bay Energy, LLC Trinity Bay F-1 Platform\_RN100211069\_P3559.pdf

The Emissions Banking and Trading (EBT) program is transitioning to email correspondence and will not be mailing paper copies of these documents.

Please see the attached EBT documents for project numbers 410614 through 410617. For additional information about this project, visit the [TCEQ EBT Database](#). If you have any questions, please see the contact information in the last paragraph of the attached letter.

### **Texas Commission on Environmental Quality**

EBT Programs

(512) 239-4900

[ebt@tceq.texas.gov](mailto:ebt@tceq.texas.gov)

**Sign up to receive e-mail updates** on EBT programs. Select *Emissions Banking and Trading (EBT) Program* under the *Air Quality* heading.



## Banking and Trading Route Slip

AIR QUALITY DIVISION Emissions Banking and Trading Program				
<b>Company Name:</b> Galveston Bay Energy, LLC Trinity Bay F-1 Platform, RN100211069, P3559; North Point Bolivar Field, RN102198058, P3561				
<b>Project Number:</b> 410617				
<b>Type of Letter Correspondence:</b> CTAS, CTAB				
<b>Letter Document Number(s):</b> 26645; 26646				
<b>Certificate Number(s):</b> N/A				
Review and Approval	Initial and Date	Comments/Special Instructions		
Deric Patton, Work Lead EBTP	—	—		
Author/Creator Review	MM 5/27/16			
Peer Review Completed	JM 4/29/16			
Author/Creator	MM 4/25/16		<b>Copies Made</b>	<b>Date</b>
			MM	5/27
			<b>E-Mailed</b>	<b>Date</b>
			MM	5/27
Please return <b>Routing Slip</b> and <b>Project Paperwork</b> to Deric Patton, MC-206, Ext. 3159				

Will close last after 410614, 410615, & 410616

Marie

C  
5/27/16  
MM