

AIR MECT_100211069-407364_
TR_20121015_Trades_P3559

10/09/2012 ----- EBTP IMS- PROJECT RECORD -----

PROJECT#: 407364
RECEIVED: 10/03/2012

STATUS: P
PROJTYPE: BTSF

DISP CODE: C ✓
ISSUED DT:
SUP-DISP DATE: 10/9/2012

STAFF ASSIGNED TO PROJECT:

BANDA, DANIEL

PROJECT NOTES:

GROUPWISE DOCUMENTS: CTAS-19439 / CTAB-19440

PROJECT TRANSACTIONS**COMPANY DATA**

COMPANY NAME: KOCH SUPPLY AND TRADING LP
CUSTOMER REGISTRY ID:

PORTFOLIO DATA

NUMBER: P3034 NAME: BROKER ACCOUNT - KOCH SUPPLY - BKR1019

SITE DATA

ACCOUNT:
BKR1019

REG ENTITY ID:

SITE NAME: BROKER ACCOUNT - KOCH SUPPLY AND TRADING LP

COUNTY:

NEAREST CITY:

LOCATION:

CONTACT DATA

NAME: COLIN MOORE

TITLE: TRADER

STREET: 20 E GREENWAY PLAZA, 8TH FLOOR CITY/STATE, ZIP: HOUSTON, TX , 77046-0

FAX: 281-276-9261 ext 0

PHONE: 713-544-5607 ext 0

Email: colin.moore@kochind.com

TRANSACTION DATA

TRANSACTION TYPE: CAP_SELL

ALLOWANCE TYPE: VINTAGE

EFFECTIVE YEAR: 2011

TON: 100.00

POLLUTANT CODE: NOX

COMPANY DATA

COMPANY NAME: GALVESTON BAY ENERGY LLC

CUSTOMER REGISTRY ID: CN603938309

PORTFOLIO DATA

NUMBER: P3559 NAME: GALVESTON BAY - TRINITY BAY F-1 - RN100211069

SITE DATA

ACCOUNT:
CI0177Q

REG ENTITY ID: RN100211069

SITE NAME: TRINITY BAY F-1 PLATFORM

COUNTY: CHAMBERS

NEAREST CITY: BAYTOWN

LOCATION: TRINITY BAY, BAYTOWN

CONTACT DATA

NAME: SARAH BEREL-HARROP

TITLE: CHIEF FINANCIAL OFFICER

STREET: 800 GESSNER ROAD, SUITE 200 CITY/STATE, ZIP: HOUSTON, TX , 77024-0

FAX: 281-408-4879 ext 0

PHONE: 281-408-4880 ext 0

Email: sberel@duma.com

TRANSACTION DATA

TRANSACTION TYPE: CAP_BUY

ALLOWANCE TYPE: VINTAGE

EFFECTIVE YEAR: 2011

TON: 100.00

POLLUTANT CODE: NOX

TRACKING ACTIVITIESPM RECEIVED DATE : 10/04/2012 PM REQUESTS PEER
REVIEW :

10/09/2012 LETTER ISSUED : 10/15/2012

Portfolio Number:	P3034	Portfolio Name:	BROKER ACCOUNT - KOCH SUPPLY - BKR1019
Company Name:	KOCH SUPPLY AND TRADING LP		

Actual Allowances for Pollutant NOX

Balance/Year	2006	2007	2008	2009	2010	2011	2012
Penalty from last year	0	0	0	0	0	0	0
Starting Allowance	0	0	0	0	0	0	0
Future Trade In	0	239	105	0	0	0	0
Future Trade Out	-0	-7.8	-105	-0	-0	-0	-0
Stream Trade In	8.4	7.8	51.4	51.4	101.6	291.3	374
Stream Trade Out	-0	-0	-28.5	-28.5	-47.5	-203.7	-339
Current Trade In	632.2	973.5	67.9	0	0	3	0
Current Trade Out	-552	-400	-90	-0	-0	-85	-2
Vintage From Last Year	100	101.2	825.1	0	23	54.2	5.7
Vintage Trade In	317	796.7	0	0	0	262.1	203
Vintage Trade Out	409.6	897	720.5	0	23	305	5
Vintage Used	-0	-0	-0	-0	-0	-0	-0
VINTAGE BALANCE	1.4	0.9	104.6	0	0	11.3	203.7
DERC Intent	0	0	0	0	0	0	0
DERC Use	0	0	0	0	0	0	0
ERC Use	12.6	12.6	0.1	0.1	0.1	0.1	0
Actual Emissions	0	0	0	0	0	0	0
BALANCE	101.2	825.1	0.9	23	54.2	5.7	33

* Part of actual allowances was used to cover exceedences by flex permits and/or allowables.

Actual Allowances for NOX

Balance/Year	2006	2007	2008	2009	2010	2011	2012
Current	101.20	825.10	0.90	23.00	54.20	5.70	33.00
Balance							

Vintage Balances for NOX

Balance/Year	2006	2007	2008	2009	2010	2011	2012
Current	1.40	0.90	104.60	0	0	11.30	103.70
Balance							

Flex permits Allowances for NOX

Balance/Year	2006	2007	2008	2009	2010	2011	2012
Current	0	0	0	0	0	0	0
Balance							

Pending Projects

Proj_num	Xaction Type
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Pseudocally Closed Projects

Proj_num	Xaction Type
407364	CAP_SELL

Portfolio Number:	P3559	Portfolio Name:	GALVESTON BAY - TRINITY BAY F-1 - RN100211069
Company Name:	GALVESTON BAY ENERGY LLC		

Actual Allowances for Pollutant NOX

Balance/Year	2006	2007	2008	2009	2010	2011	2012
Penalty from last year	0	0	0	0	0	0	0
Starting Allowance	0	0	0	0	0	0	0
Future Trade In	0	0	0	0	0	0	0
Future Trade Out	-0	-0	-0	-0	-0	-0	-0
Stream Trade In	0	0	0	0	0	0	0
Stream Trade Out	-0	-0	-0	-0	-0	-0	-0
Current Trade In	0	0	0	0	0	0	0
Current Trade Out	-0	-0	-0	-0	-0	-0	-0
Vintage From Last Year	0	0	0	0	0	0	0
Vintage Trade In	0	0	0	0	0	87.7	0
Vintage Trade Out	0	0	0	0	0	38.1	0
Vintage Used	-0	-0	-0	-0	-0	-0	-0
VINTAGE BALANCE	0	0	0	0	0	49.6	0
DERC Intent	0	0	0	0	0	0	0
DERC Use	0	0	0	0	0	0	0
ERC Use	0	0	0	0	0	0	0
Actual Emissions	0	0	0	0	0	0	0
BALANCE	0	0	0	0	0	0	0

* Part of actual allowances was used to cover exceedences by flex permits and/or allowables.

Actual Allowances for NOX

Balance/Year	2006	2007	2008	2009	2010	2011	2012
Current Balance	0	0	0	0	0	0	0

Vintage Balances for NOX

Balance/Year	2006	2007	2008	2009	2010	2011	2012
Current Balance	0	0	0	0	0	49.60	100.00

Flex permits Allowances for NOX

Balance/Year	2006	2007	2008	2009	2010	2011	2012
Current Balance	0	0	0	0	0	0	0

Pending Projects

Proj_num	Xaction Type
406885	CAP_RPT
	CAP_VINT
407226	CAP_RPT
407227	CAP_RPT
	CAP_VINT
	CAP_VINT

Pseudocally Closed Projects

Proj_num	Xaction Type
407364	CAP_BUY



TEXAS COMMISSION ON ENVIRONMENTAL QUALITY
FORM ECT-2 (Page 1)
APPLICATION FOR TRANSFER OF ALLOWANCES

407364

2B

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OCT 03 2012

AIR QUALITY
DIVISION

I. SELLER'S COMPANY INFORMATION			
A. Company Name: Koch Supply & Trading, LP ✓			
B. Mailing Address: 20 East Greenway Plaza, 8th Floor			
City: Houston		State: TX	
Telephone: 713-544-5607		Fax: 281-276-9261	
		E-Mail: Colin.Moore@kochind.com	
C. TCEQ Customer Identification Number (CN): BRK1019 ✓ P3034			
D. Plant/Site Name: N/A			
Street Address (If no street address, give driving directions in writing):			
Nearest City:		Zip Code:	
		County:	
E. TCEQ Regulated Entity Number (RN):			
F. TCEQ Account Number (if applicable):			
II. SELLER'S TECHNICAL CONTACT INFORMATION			
A. Contact Name: (<input checked="" type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Dr.) Colin Moore			
Contact Title: VP Environmental Markets			
Mailing Address: 20 East Greenway Plaza, 8th Floor			
City: Houston		State: TX	
Telephone: 713-544-5607		Fax: 281-276-9261	
		E-Mail: Colin.Moore@kochind.com	
III. SELLER'S ACCOUNT REPRESENTATIVE INFORMATION			
A. Contact Name: (<input type="checkbox"/> Mr. <input checked="" type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Dr.) Janette Evensen			
Contact Title: Trade Accounting Analyst			
Mailing Address: 4111 E 37th Street North PO Box 2256			
City: Wichita		State: KS	
Telephone: 316-828-7496		Fax: 281-276-9261	
		E-Mail: Janette.Evensen@kochind.com	
IV. BUYER COMPANY INFORMATION			
A. Company Name: Galveston Bay Energy, LLC ✓			
Mailing Address: 800 Gessner, Suite 200			
City: Houston		State: TX	
Telephone: 281-408-4880		Fax: 281-408-4879	
		E-Mail: sberel@duma.com	
B. TCEQ Customer Identification Number (CN): CN603938309 ✓			
C. Site Name: Trinity Bay F-1 Platform			
Street Address (If no street address, give driving directions in writing):			
Approximately 20 miles south of Baytown on Trinity Bay			
Nearest City: Baytown		Zip Code: 77530	
		County: Chambers	
D. TCEQ Regulated Entity Number (RN): RN100211069 ✓ P3559			
E. TCEQ Account Number (if applicable): CI-0177-Q ✓			



TEXAS COMMISSION ON ENVIRONMENTAL QUALITY
FORM ECT-2 (Page 2)
APPLICATION FOR TRANSFER OF ALLOWANCES

Received
OCT - 3 2012

Air Quality Division

V. BUYER TECHNICAL CONTACT INFORMATION

A. Contact Name: (☐ Mr. ☐ Mrs. ☒ Ms. ☐ Dr.): Sarah Berel-Harrop

Contact Title: Chief Financial Officer

Mailing Address: 800 Gessner, Suite 200

City: Houston

State: TX

Zip Code: 77024

Telephone: 281-408-4880 X512

D. Fax: 281-408-4879

E. E-Mail: sberel@duma.com

VI. BUYER ACCOUNT REPRESENTATIVE INFORMATION

A. Contact Name: (☐ Mr. ☐ Mrs. ☐ Ms. ☐ Dr.):

Contact Title:

Mailing Address:

City:

State:

Zip Code:

Telephone:

Fax Number:

Email:

VII. ALLOWANCES TO BE TRANSFERRED

Seller RN BRK1019

Buyer RN RN100211069

Pollutant
Type

Year

Allowances to be Transferred
(tons)
(transfer amounts must be to the tenth of a ton)

MECT NO_x (HGB)

2011

100 ST

VIII. PURCHASE PRICE

Price of the allowances acquired or the expected price if the Allowances to be acquired per ton \$ 100.00

Note: For the trade to be approved a price must be submitted upon submitting the trade.
The allowances can be viewed at the TCEQ website at www.tceq.state.tx.us.

IX. SIGNATURE

I Janette Evensen, hereby certify as owner of the above listed allowances, these allowances may be transferred to the listed buyer and that information entered in this application is correct to the best of my knowledge and belief.

Signature: Janette Evensen

Signature Date: 10/2/2012

Title: TRADE ACCOUNTING ANALYST

FEDEX

Express



earthsmart
FedEx carbon-neutral
envelope shipping

MC
168

RT 333
FZ
7
8136
10.03
A

Origin ID: RSLA 316 828-4080
KOCH SUPPLY & TRADING
4111 E 37TH ST N
WICHITA KS 67220
UNITED STATES

Ship Date: 020CT12
Actual Wgt: 1 LB
System#: 6226/KWCS11011402
Account: S 067201442

TO:
TCEQ: CHIEF ENGINEERS OFFICE
EMISSION BANKING AND TRADING P
TEXAS COMMISSION ON ENVIRONMEN
BLDG F
12100 PARK 35 CIRCLE
AUSTIN, TX 78758

512-239-4451

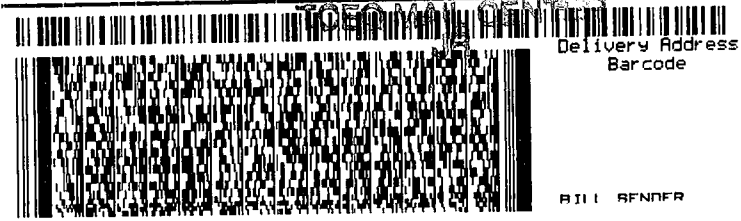
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DEC 03 2012

Ref: 30003



STANDARD OVERNIGHT

TRK* 5044 0411 8136

Form
0201

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78753 -TX-US

A8 MMRA



Bryan W. Shaw, Ph.D., *Chairman*
Carlos Rubinstein, *Commissioner*
Toby Baker, *Commissioner*
Zak Covar, *Executive Director*



TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

Protecting Texas by Reducing and Preventing Pollution

October 15, 2012

Ms. Sarah Berel-Harrop
Chief Financial Officer
Galveston Bay Energy, LLC
800 Gessner Road, Suite 200
Houston, Texas 77024

Re: Transfer of Nitrogen Oxides (NO_x) Allowances
Mass Emissions Cap and Trade (MECT) Program
Customer Reference Number: CN603938309
Regulated Entity Reference Number: RN100211069

Dear Ms. Berel-Harrop:

This letter is in response to a Form ECT-2 (Application for Transfer of Allowances) received from Koch Supply & Trading, LP, on October 3, 2012, project number 407364. The Texas Commission on Environmental Quality (TCEQ) acknowledges the following ECT-2 form(s) transferring NO_x allowances.

Project Status	Received	Project	Trade Type	Allowance Year	Action	Company Name	Amount Traded	Price Per Ton
Approved	10/3/2012	407364	Vintage	2011	Bought from	Koch Supply & Trading, LP	100.0	\$100.00

The portfolio summary listed below reflects allowances available for the specified control period. When the TCEQ deducts allowances for compliance at the end of the control period, current allowances are used first, and then vintage allowances.

Control Period	Portfolio	Company Name	Site or Broker Name	Vintage	Current
2012	P3559	Galveston Bay Energy, LLC	Trinity Bay F-1 Platform	100.0	0.0

Visit the Credit and Allowance Registry to view additional information about this transfer and other projects at <http://www2.tceq.texas.gov/airperm/index.cfm?fuseaction=banking.start>.

If you have questions concerning this transfer or need further assistance regarding the banking program, please contact Mr. Daniel Banda at (512) 239-4701 or write to the Texas Commission on Environmental Quality, Office of Air, Air Quality Division (MC-206), PO Box 13087, Austin, Texas 78711-3087.

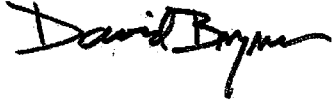
Ms. Sarah Berel-Harrop

Page 2

October 15, 2012

This action is taken under authority delegated by the Executive Director of the TCEQ.

Sincerely,

A handwritten signature in black ink, appearing to read "David Brymer". The signature is fluid and cursive, with the first name "David" being more prominent than the last name "Brymer".

David Brymer, Director
Air Quality Division

DB/DB/sd

cc: Air Section Manager, Region 12 – Houston

Bryan W. Shaw, Ph.D., *Chairman*
Carlos Rubinstein, *Commissioner*
Toby Baker, *Commissioner*
Zak Covar, *Executive Director*



TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

Protecting Texas by Reducing and Preventing Pollution

October 15, 2012

Mr. Colin Moore
VP Environmental Markets
Koch Supply & Trading, LP
20 East Greenway Plaza, 8th Floor
Houston, Texas 77046

Re: Transfer of Nitrogen Oxides (NO_x) Allowances
Mass Emissions Cap and Trade (MECT) Program
Broker Account Number: BKR1019

Dear Mr. Moore:

This letter is in response to a Form ECT-2 (Application for Transfer of Allowances) received from Koch Supply & Trading, LP, on October 3, 2012, project number 407364. The Texas Commission on Environmental Quality (TCEQ) acknowledges the following ECT-2 form(s) transferring NO_x allowances.

Project Status	Received	Project	Trade Type	Allowance Year	Action	Company Name	Amount Traded	Price Per Ton
Approved	2/28/2012	406733	Vintage	2011	Bought from	BGC Environmental Brokerage Services, LP	6.9	\$0.00
Approved	2/28/2012	406735	Vintage	2011	Bought from	Cantor Fitzgerald, LP	1.1	\$0.00
Approved	4/20/2012	407130	Vintage	2011	Sold to	EnviroSolutions Engineering, LLC	5.0	\$300.00
Approved	5/16/2012	407147	Current	2012	Sold to	CenterPoint Energy Mobile Energy Solutions, Inc.	2.0	\$500.00
Approved	9/13/2012	407328	Vintage	2011	Bought from	Sweeny Cogeneration Limited Partnership	195.0	\$100.00
Approved	10/3/2012	407364	Vintage	2011	Sold to	Galveston Bay Energy, LLC	100.0	\$100.00

The portfolio summary listed below reflects allowances available for the specified control period. When the TCEQ deducts allowances for compliance at the end of the control period, current allowances are used first, and then vintage allowances.

Mr. Colin Moore
Page 2
October 15, 2012

Control Period	Portfolio	Company Name	Site or Broker Name	Vintage	Current
2012	P3034	Koch Supply & Trading, LP	Broker Account - Koch Supply & Trading, LP	103.7	33.0

Visit the Credit and Allowance Registry to view additional information about this transfer and other projects at <http://www2.tceq.texas.gov/airperm/index.cfm?fuseaction=banking.start>.

If you have questions concerning this transfer or need further assistance regarding the banking program, please contact Mr. Daniel Banda at (512) 239-4701 or write to the Texas Commission on Environmental Quality, Office of Air, Air Quality Division (MC-206), PO Box 13087, Austin, Texas 78711-3087.

This action is taken under authority delegated by the Executive Director of the TCEQ.

Sincerely,



David Brymer, Director
Air Quality Division

DB/DB/sd