

AIR MECT_100211069-409627_

TR 20150303 Trades P3559

02/26/2015 ----- EBTP IMS- PROJECT RECORD -----

PROJECT#: 409627 STATUS: P
RECEIVED: 02/02/2015 PROJTYPE: BTSF

DISP CODE: C
ISSUED DT:
SUP-DISP DATE: 2/26/2015 ✓

STAFF ASSIGNED TO PROJECT:

MUSA, JOSEPH

PROJECT NOTES:

ENVELOPE DID NOT HAVE A POSTMARK DATE. MAY NEED TO ASK COMPANY FOR FED EX TRACKING NUMBER TO VERIFY SUBMITTED BY 1/30/2015, TALK TO LINDLEY - MR
COMPANY CONFIRMED APPLICATION SENT BY REGULAR MAIL 1/30/2015.
PROJECT PUT ON HOLD PENDING CLOSING OF 2013 BRPT FOR SELLER SITE AND TRADE PROJECT 409696.

PROJECT TRANSACTIONS**COMPANY DATA**

COMPANY NAME: GALVESTON BAY ENERGY LLC
CUSTOMER REGISTRY ID: CN603938309

PORTFOLIO DATA

NUMBER: P3559 NAME: GALVESTON BAY - TRINITY BAY F-1 - RN100211069

SITE DATA

ACCOUNT: CI0177Q
REG ENTITY ID: RN100211069
SITE NAME: TRINITY BAY F-1 PLATFORM
COUNTY: CHAMBERS
LOCATION: TRINITY BAY, BAYTOWN

NEAREST CITY: BAYTOWN

CONTACT DATA

NAME: CHRISTINE P SPENCER TITLE: CHIEF ACCOUNTING OFFICER
STREET: 800 GESSNER ROAD, SUITE 375 CITY/STATE, ZIP: HOUSTON, TX , 77024-0
PHONE: 713-970-1598 ext 0
Email: cspencer@duma.com

TRANSACTION DATA

TRANSACTION TYPE: CAP_SELL
EFFECTIVE YEAR: 2013
POLLUTANT CODE: NOX

ALLOWANCE TYPE: VINTAGE
TON: 0.30

COMPANY DATA

COMPANY NAME: GALVESTON BAY ENERGY LLC
CUSTOMER REGISTRY ID: CN603938309

PORTFOLIO DATA

NUMBER: P3629 NAME: GALVESTON BAY - POINT BARROW - RN102506680

SITE DATA

ACCOUNT: CI0123Q

REG ENTITY ID: RN102506680

SITE NAME: POINT BARROW FACILITY

COUNTY: CHAMBERS

NEAREST CITY: BAYTOWN

LOCATION: S ON HWY 2354, APPROX 4 MI S OF IH-10, TURN
L ON TO MCCULLEN PARK RD, TRAVEL .5 MILE, TURN R ON
PT BARROW RD, TRAVEL .5 MI, TURN L ON VINTAGE RD.

CONTACT DATA

NAME: CHRISTINE P SPENCER

TITLE: CHIEF ACCOUNTING OFFICER

STREET: 800 GESSNER ROAD, SUITE 375 CITY/STATE, ZIP: HOUSTON, TX , 77024-0

PHONE: 713-970-1598 ext 0

Email: cspencer@duma.com

TRANSACTION DATA

TRANSACTION TYPE: CAP_BUY

ALLOWANCE TYPE: VINTAGE

EFFECTIVE YEAR: 2013

TON: 0.30

POLLUTANT CODE: NOX

TRACKING ACTIVITIES

PROJECT SUBMITTED : 01/30/2015 PM RECEIVED DATE : 02/10/2015

PROJECT HOLD : 02/11/2015 02/25/2015

PROJECT COMPLETED :

*P3559***Actual Allowances for NOX**

Balance/Year	2010	2011	2012	2013	2014	2015	2016
Current	-45.10	-55.50	0	5.70	0	0	0
Balance							

Vintage Balances for NOX

Balance/Year	2010	2011	2012	2013	2014	2015	2016
Current	0	0	2.80	4.90	73.40	0	0
Balance							

Flex permits Allowances for NOX

Balance/Year	2010	2011	2012	2013	2014	2015	2016
Current Balance	0	0	0	0	0	0	0

Pending Projects

Proj_num	Xaction Type
<u>409713</u>	CAP_SELL
<u>409711</u>	CAP_SELL
<u>409710</u>	CAP_SELL
<u>409712</u>	CAP_SELL

Pseudocally Closed Projects

Proj_num	Xaction Type
<u>409627</u>	CAP_SELL



Texas Commission on Environmental Quality
Application for Transfer of Allowances
Form ECT-2 (Page 1)

Received
Jm
FEB 02 2015

409627

Air Quality Division

Seller's Company Information

Company Name: Galveston Bay Energy, LLC ✓

Mailing Address: 800 Gessner Rd, Suite 375

City: Houston

State: TX

ZIP Code: 77024

Telephone Number: 713-970-1590

TCEQ Customer Reference Number (CN): CN603938309 ✓

Seller's Site Information

Site Name: Trinity Bay F-1 Platform ✓

Street Address: Approximately 20 miles south of Baytown on Trinity Bay

Nearest City: Baytown

County: Chambers

ZIP Code : 77530

TCEQ Regulated Entity Reference Number (RN): RN100211069 ✓

TCEQ Air Account Number (if applicable): CI-0177-Q ✓

Portfolio Number: P3559 ✓

Seller's Technical Contact Information

(Mr. ☐ Ms. ☒ Dr. ☐) Technical Contact Name: Christine P Spencer

Title: Chief Accounting Officer

Mailing Address: 800 Gessner Rd, Suite 375

City: Houston

State: TX

ZIP Code: 77024

Telephone Number: 713-970-1598

E-Mail Address: cspencer@duma.com

Seller's Contact Information

(Mr. ☒ Ms. ☐ Dr. ☐) Company Contact Name: Craig Alexander

Title: Vice President of Operations

Mailing Address: : 800 Gessner Rd, Suite 375

City: Houston

State: TX

ZIP Code: 77024

Telephone Number: 713-970-1597

E-Mail Address: calexander@duma.com

Buyer's Company Information

Company Name: Galveston Bay Energy, LLC ✓

Mailing Address: 800 Gessner Rd, Suite 375

City: Houston

State: TX

ZIP Code: 77024

Telephone Number: 713-970-1590

TCEQ Customer Reference Number (CN): CN603938309



Texas Commission on Environmental Quality

Application for Transfer of Allowances Form ECT-2 (Page 2)

Buyer's Site Information

Site Name: Point Barrow ✓

Street Address: 9378 Point Barrow Road

Nearest City: Beach City

County: Chambers

ZIP Code: 77523

TCEQ Regulated Entity Reference Number (RN): RN102506680 ✓

TCEQ Air Account Number (if applicable): CI-0123-Q ✓

Portfolio Number: P3629 ✓

Buyer's Technical Contact Information

(Mr. ☐ Ms. ☒ Dr. ☐) Technical Contact Name: Christine P Spencer

Title: Chief Accounting Officer

Mailing Address: 800 Gessner Rd, Suite 375

City: Houston

State: TX

ZIP Code: 77024

Telephone Number: 713-970-1598

E-Mail Address: cspencer@duma.com

Buyer's Contact Information

(Mr. ☒ Ms. ☐ Dr. ☐) Company Contact Name: Craig Alexander

Title: Vice President of Operations

Mailing Address: 800 Gessner Rd, Suite 375

City: Houston

State: TX

ZIP Code: 77024

Telephone Number: 713-970-1597

E-Mail Address: caalexander@duma.com

Allowances to be Transferred

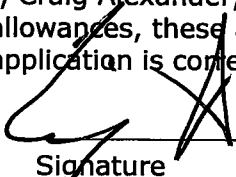
Seller's Portfolio Number: P3559

Buyer's Portfolio Number: P3629

Pollutant	Allocation Year	For Use in Control Period	Amount Traded (tons)	Purchase Price (\$/ton)
NOx	2013	2014	0.3	\$0

Authorized Signature

I, Craig Alexander, hereby certify that Galveston Bay Energy, LLC is the owner of the above listed allowances, these allowances may be transferred to the listed buyer, and that information entered in this application is correct to the best of my knowledge and belief.


Signature

Vice President of Operations
Title

1/29/15
Date

GBE

Galveston Bay Energy, LLC

VIA Federal Express

January 30, 2015

Texas Commission on Environmental Quality
Chief Engineer's Office, Air Quality Division
Emissions Banking and Trading Program, MC 206
P.O. Box 13087
Austin, TX 78711-3087

RE: Transfer of Allowances (Form ECT-2)
Galveston Bay Energy, LLC (CN603938309)

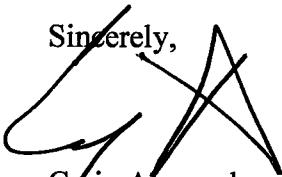
To Whom It May Concern:

Galveston Bay Energy, LLC (GBE) owns and operates several sites in the Houston/Galveston nonattainment area subject to the Mass Emissions Cap and Trade (MECT) requirements of 30 TAC Chapter 101. Enclosed please find ECT-2 forms for each of the five (5) sites listed below:

A-1 Platform (RN100209774)
C-2 Platform (RN100220664)
F-2 Platform (RN102531712)
North Point Bolivar (RN102198058)
Point Barrow (RN102506680)

If you have any questions concerning the information on the enclosed forms, please contact Ms. Christine Spencer at 713-970-1598.

Sincerely,



Craig Alexander
Vice President of Operations

Enclosures

Energy, LLC
#375

24

No postage stamp?

ALB

TCEQ

Chief Engineer's Office
Air Quality Division

Emissions Banking & Trading Program
MC206

P.O. Box 13087

Austin, TX 78711-3087

RECEIVED

FEB 02 2015

TCEQ MAIL CENTER
JH

Joseph Musa

From: Alyssa Pettigrew <apettigrew@duma.com>
Sent: Wednesday, February 11, 2015 2:10 PM
To: Joseph Musa
Cc: Christine Spencer
Subject: Re: Transfer of Allowances

Joseph,

I am the one who put the envelopes in the mail on January 30, 2015. I stamped them with our Pitney Bowes postage machine on that exact date and sent them via regular United States mail. Perhaps the person who opened the envelope threw it away and that is why you cannot confirm when they were actually postmarked? We really do not want to receive a penalty after working so hard with the TCEQ on other issues that are now taken care of. Please advise.

Thank you,

Alyssa Pettigrew
Staff Accountant
Galveston Bay Energy, LLC, a subsidiary of Hydrocarb Energy Corporation
800 Gessner, Suite 375
Houston, TX 77024
Direct Line: (713) 970-1596
Fax: (713) 970-1591
Emergencies ONLY: (512) 997-8377
apettigrew@duma.com

On Wed, Feb 11, 2015 at 12:45 PM, Christine Spencer <cspencer@duma.com> wrote:
Do you have the Fedex details on this?

Christine P Spencer CPA, Chief Accounting Officer
Hydrocarb Energy Corp.
800 Gessner, Suite 375
Houston, TX 77024
cspencer@duma.com
713-970-1598 (direct line)
713-970-1591 (fax)
281-638-7061 (cell)

PLEASE NOTE NEW ADDRESS AND PHONE NUMBERS

This email and any files transmitted with it are confidential. Only intended recipients are authorized to use it. The company does not accept liability for communication that violates our e-Mail Policy.

----- Forwarded message -----

From: Joseph Musa <joseph.musa@tceq.texas.gov>

Date: Wed, Feb 11, 2015 at 12:13 PM
Subject: Transfer of Allowances
To: "cspencer@duma.com" <cspencer@duma.com>

Ms. Spencer:

This e-mail is in response to five Applications for Transfer of Allowances (Form ECT-2) received on February 2, 2015 from Galveston Bay Energy, LLC. The postmark dates on the envelopes were missing. Please provide the Fed Ex tracking numbers so that we can verify the applications were submitted by January 30, 2015.

If you have any questions, please contact me by e-mail or by phone at (512) 239-3328.

Joseph Musa

Air Quality Division

Texas Commission on Environmental Quality

Phone: (512) 239-3328

Fax: (512) 239-6188

Bryan W. Shaw, Ph.D., P.E., *Chairman*
Toby Baker, *Commissioner*
Zak Covar, *Commissioner*
Richard A. Hyde, P.E., *Executive Director*



TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

Protecting Texas by Reducing and Preventing Pollution

March 3, 2015

Ms. Christine P. Spencer, C.P.A.
Chief Accounting Officer
Galveston Bay Energy, LLC
800 Gessner Road, Suite 375
Houston, Texas 77024

Re: Transfer of Nitrogen Oxides (NO_x) Allowances
Mass Emissions Cap and Trade (MECT) Program
Customer Reference Number: CN603938309
Regulated Entity Reference Number: RN100211069

Dear Ms. Spencer:

This letter is in response to an Application for Transfer of Allowances (Form ECT-2) received from Galveston Bay Energy, LLC, on February 2, 2015, project number 409627. The Texas Commission on Environmental Quality (TCEQ) acknowledges the following ECT-2 form(s) transferring NO_x allowances.

Project Status	Received	Project	Trade Type	Allocation Year	Action	Company Name	Amount Traded	Price Per Ton
Approved	1/27/2015	409696	Vintage	2013	Bought from	Amerex Brokers, LLC	68.0	\$150.00
Approved	2/2/2015	409627	Vintage	2013	Sold to	Galveston Bay Energy, LLC	0.3	\$0.00
Pending	2/2/2015	409713	Vintage	2013	Sold to	Galveston Bay Energy, LLC	7.3	\$0.00

The portfolio summary listed below reflects allowances available for the specified control period. When the TCEQ deducts allowances for compliance at the end of the control period, current allowances are used first, and then vintage allowances.

Control Period	Portfolio	Company Name	Site or Broker Name	Vintage	Current
2014	P3559	Galveston Bay Energy, LLC	Trinity Bay F-1 Platform	73.4	0.0

Visit the Credit and Allowance Registry to view additional information about this transfer and other projects at <http://www2.tceq.texas.gov/airperm/index.cfm?fuseaction=banking.start>.

Ms. Christine P. Spencer, C.P.A.

Page 2

March 3, 2015

If you have questions concerning this transfer or need further assistance regarding the banking program, please contact Mr. Joseph Musa at (512) 239-3328 or write to the Texas Commission on Environmental Quality, Office of Air, Air Quality Division (MC-206), PO Box 13087, Austin, Texas 78711-3087.

This action is taken under authority delegated by the executive director of the TCEQ.

Sincerely,



A handwritten signature in black ink, appearing to read "David Brymer". The signature is fluid and cursive, with the first name "David" being more prominent than the last name "Brymer".

David Brymer, Director
Air Quality Division

DB/JM/jt

cc: Air Section Manager, Region 12 – Houston

Banking and Trading Route Slip

AIR QUALITY DIVISION Emissions Banking and Trading Program (EBTP)				
Company Name: <i>Galveston Bay Energy, LLC</i>				
Project Number: <i>409627</i>				
Type of Letter Correspondence: <i>CTAS, CTAB</i>				
Letter Document Number(s): <i>24746, 24747</i>				
Certificate Number(s): <i>N/A</i>				
Review and Approval	Initial and Date	Comments/Special Instructions		
Work Lead EBTP				
Author/Creator Review				
Peer Review Completed	<i>145 FOR MR</i> <i>2/27/2015</i>			
Author/Creator	<i>JM 2/26/15</i>		Copies Made	Date
			<i>JM</i>	<i>3/2/15</i>
			Mailed	Date
			<i>JM</i>	<i>3/3/15</i>

C
3/4/15
