AIR MECT_100211069-408542_
TR_20140127_Trades_P3559

01/23/2014 ---------- EBTP IMS- PROJECT RECORD ----------

PROJECT#: 408542 STATUS: P DISP CODE: C
RECEIVED: 01/21/2014 PROJTYPE: BTSF ISSUED DT: 1/23/14
SUP-DISP DATE:

STAFF ASSIGNED TO PROJECT:
BANDA, DANIEL

PROJECT TRANSACTIONS

COMPANY DATA
COMPANY NAME: GALVESTON BAY ENERGY LLC
CUSTOMER REGISTRY ID: CN603938309

file no ik

PORTFOLIO DATA
NUMBER: P3559 NAME: GALVESTON BAY - TRINITY BAY F-1 - RN100211069

SITE DATA
ACCOUNT: CI0177Q
REG ENTITY ID: RN100211069
SITE NAME: TRINITY BAY F-1 PLATFORM
COUNTY: CHAMBERS NEAREST CITY: BAYTOWN
LOCATION: TRINITY BAY, BAYTOWN

CONTACT DATA
NAME: CRAIG ALEXANDER TITLE: OPERATIONS MANAGER
STREET: 800 GESSNER ROAD, SUITE 200 CITY/STATE,ZIP: HOUSTON, TX , 77024-0
PHONE: 281-408-4880 ext 0
Email: calexander@duma.com

TRANSACTION DATA
TRANSACTION TYPE:CAP_SELL ALLOWANCE TYPE:VINTAGE
EFFECTIVE YEAR: 2012 TON: 24.10
POLLUTANT CODE: NOX

COMPANY DATA
COMPANY NAME: GALVESTON BAY ENERGY LLC
CUSTOMER REGISTRY ID: CN603938309

PORTFOLIO DATA
NUMBER: P3562 NAME: GALVESTON BAY A-1 PLATFORM - RN100209774

SITE DATA
ACCOUNT: CI0175U
REG ENTITY ID: RN100209774
SITE NAME: GALVESTON BAY A-1 PLATFORM
COUNTY: CHAMBERS NEAREST CITY: GALVESTON

LOCATION: GALVESTON BAY, ABOUT 9 MILES FROM POINT BARROW

CONTACT DATA

NAME: CRAIG ALEXANDER TITLE: OPERATIONS MANAGER

STREET: 800 GESSNER ROAD, SUITE 200 CITY/STATE,ZIP: HOUSTON, TX , 77024-0

PHONE: 281-408-4880 ext 0

Email: calexander@duma.com

TRANSACTION DATA

TRANSACTION TYPE:CAP_BUY ALLOWANCE TYPE:VINTAGE

EFFECTIVE YEAR: 2012 TON: 24.10

POLLUTANT CODE: NOX

TRACKING ACTIVITES

PM RECEIVED DATE : 01/22/2014 LETTER ISSUED : 1/27/14

Actual Allowances for NOX

Balance/Year	2010	2011	2012	2013	2014	2015	2016
Current Balance	-45.10	-55.50	0	91.30	0	0	0

Vintage Balances for NOX

Balance/Year	2010	2011	2012	2013	2014	2015	2016
Current Balance	0	0	2.80	16.90	0	0	0

Flex permits Allowances for NOX

Balance/Year	2010	2011	2012	2013	2014	2015	2016
Current Balance	0	0	0	0	0	0	0

Pending Projects

Proj_num	Xaction Type
408540	CAP_SELL
408543	CAP_SELL
408539	CAP_SELL

Pseudocally Closed Projects

Proj_num	Xaction Type
408542	CAP_SELL
408541	CAP_SELL

Actual Allowances for NOX

Balance/Year	2010	2011	2012	2013	2014	2015	2016
Current Balance	-16.20	-17.90	0	0	0	0	0

Vintage Balances for NOX

Balance/Year	2010	2011	2012	2013	2014	2015	2016
Current Balance	0	0	12.50	24.10	0	0	0

Flex permits Allowances for NOX

Balance/Year	2010	2011	2012	2013	2014	2015	2016
Current Balance	0	0	0	0	0	0	0

Pending Projects

Proj_num	Xaction Type

Pseudocally Closed Projects

Proj_num	Xaction Type
408542	CAP_BUY

Texas Commission on Environmental Quality
Application for Transfer of Allowances
Form ECT-2 (Page 1)

408542

Seller's Company Information		
Company Name: Galveston Bay Energy, LLC		
Mailing Address: 800 Gessner, Suite 200		
City: Houston	State: Texas	ZIP Code: 77024
Telephone Number: 281-408-4880		
TCEQ Customer Reference Number (CN): CN603938309		

Seller's Site Information		
Site Name: Trinity Bay F-1 Platform		
Street Address: Approximately 20 miles south of Baytown on Trinity Bay		
Nearest City: Baytown	County: Chambers	ZIP Code : 77530
TCEQ Regulated Entity Reference Number (RN): RN100211069		
TCEQ Air Account Number (if applicable): CI-0177-Q		
Portfolio Number: P3559		

Seller's Technical Contact Information		
(Mr. X☐ Ms. ☐ Dr. ☐) Technical Contact Name: Craig Alexander		
Title: VP of Operations		
Mailing Address: 800 Gessner, Suite 200		
City: Houston	State: Texas	ZIP Code: 77024
Telephone Number: 2814084880	E-Mail Address: calexander@duma.com	

Seller's Contact Information		
(Mr. X☐ Ms. ☐ Dr. ☐) Company Contact Name: Craig Alexander		
Title: VP of Operations		
Mailing Address: 800 Gessner, Suite 200		
City: Houston	State: Texas	ZIP Code: 77024
Telephone Number: 2814084880	E-Mail Address: calexander@duma.com	

Buyer's Company Information		
Company Name: Galveston Bay Energy, LLC		
Mailing Address: 800 Gessner, Suite 200		
City: Houston	State: Texas	ZIP Code: 77024
Telephone Number: 281-408-4880		
TCEQ Customer Reference Number (CN): CN603938309		

2 0

Texas Commission on Environmental Quality
Application for Transfer of Allowances
Form ECT-2 (Page 2)

Buyer's Site Information		
Site Name: GALVESTON BAY A-1 PLATFORM		
Street Address: GALVESTON BAY, ABOUT 9 MILES FROM POINT BARROW		
Nearest City: Galveston	County: Chambers	ZIP Code: 77550
TCEQ Regulated Entity Reference Number (RN): RN10029774		
TCEQ Air Account Number (if applicable): CI-0175-U		
Portfolio Number: P3562		

Buyer's Technical Contact Information		
(Mr. X☐ Ms. ☐ Dr. ☐) Technical Contact Name: Craig Alexander		
Title: VP of Operations		
Mailing Address: 800 Gessner, Suite 200		
City: Houston	State: Texas	ZIP Code: 77024
Telephone Number: 2814084880	E-Mail Address: calexander@duma.com	

Buyer's Contact Information		
(Mr. X☐ Ms. ☐ Dr. ☐) Company Contact Name: Craig Alexander		
Title: VP of Operations		
Mailing Address: 800 Gessner, Suite 200		
City: Houston	State: Texas	ZIP Code: 77024
Telephone Number: 2814084880	E-Mail Address: calexander@duma.com	

Allowances to be Transferred

Seller's Portfolio Number: P3559 | Buyer's Portfolio Number: P3562

Pollutant	Allocation Year	For Use in Control Period	Amount Traded (tons)	Purchase Price ($/ton)
NOx	2012	2013	24.1	N/A

Authorized Signature

I, Craig Alexander, hereby certify that Galveston Bay Energy, LLC is the owner of the above listed allowances, these allowances may be transferred to the listed buyer, and that information entered in this application is correct to the best of my knowledge and belief.

Signature	Title	Date
	VP of Operations	1/19/14

GBE

Galveston Bay Energy, LLC

Mrs. Marie Mercado
Emissions Banking and Trading Program, MC-206
Air Quality Division
Texas Commission on Environmental Quality
PO Box 13087
Austin, Texas 78711-3087

January 20, 2014

Via: email, confirmation copy via US Mail
Re: ECT-2 forms for CN603938309

Dear Mrs. Mercado,

We own several sites that are subject to the TCEQ's Mass Emissions Cap and Trade (MECT) program. We have previously purchased NOx allowances for use in the 2013 control period into our Trinity Bay F-1 Platform site (portfolio P3559). At this time, we are submitting ECT-2 forms to distribute allowances from the F-1 site to the following sites:

Site	Air Account #	RN #	Portfolio #
A-1 Platform	CI-0175-U	RN100209774	P3562
C-2 Platform	CI-0122-S	RN100220664	P3560
F-2 Platform	CI-0121-U	RN102531712	P3558
North Point Bolivar	GB-0044-W	RN102198058	P3561
Point Barrow	CI-0123-Q	RN102506680	P3629

We purchased a combination of vintage (2012) and current (2013) allowances. We wish to transfer vintage allowances to the following portfolios: P3562, P3560, and P3561. We wish to transfer current allowances to portfolios P3558 and P3629.

Additionally, we have attached an ECT-2 to transfer some vintage allowances from P3629 to P3560.

We have attached a worksheet summarizing the trades we intend to do. We believe we have drafted the forms correctly to accomplish this; please let us know at your earliest convenience if there are any issues with the forms as drafted.

Please contact me at calexander@duma.com (281-408-4880 X 514) or Ms. Sarah Berel-Harrop at sbh930@sbcglobal.net (281-387-3238) if you have questions or issues.

Thank you for your assistance with this matter.

Sincerely,
GALVESTON BAY ENERGY, LLC

Craig Alexander
Vice President of Operations

Mass Emission Cap and Trade
2014 Emissions

Name	Account No.	2013 Actual Emissions (tpy)	Current Nox Vintage	Current Nox Current	Trade in/out Vintage	Trade in/out Current	Post ECT-2 Vintage	Post ECT-2 Current	Total	
A-1 Platform	CI-0175-U	24.1			24.1		24.1	0	24.1	0.0
A-2 Platform	CI-0160-K	0.0					0	0	0	0.0
C-2 Platform	CI-0122-S	17.0	2.8		12.7		15.5	0	15.5	-1.5
F-1 Platform	CI-0177-Q	50.6	46.7	91.3	-41.8	-45.5	4.9	45.8	50.7	0.1
F-2 Platform	CI-0121-U	23.8				24	0	24	24	0.2
North Point Bolivar	GB-0044-W	5.7			5.7		5.7	0	5.7	0.0
Point Barrow	CI-0123-Q	21.5	0.7		-0.7	21.5	0	21.5	21.5	0.0
	TOTAL:	142.7	50.2	91.3	0.0	0.0	50.2	91.3	141.5	-1.2

Galveston Bay Energy, LLC CN 603938309 TCEQ #'s

Site	Air Account #	RN #	Portfolio #
A-1 Platform	CI-0175-U	RN100209774	P3562
A-2 Platform	CI-0160-K	RN100226471	P3583
C-2 Platform	CI-0122-S	RN100220664	P3560
F-1 Platform	CI-0177-Q	RN100211069	P3559
F-2 Platform	CI-0121-U	RN102531712	P3558
North Point Bolivar	GB-0044-W	RN102198058	P3561
Point Barrow	CI-0123-Q	RN102506680	P3629

C-2 Trade in 12 from F-1
Trade in .7 from Pt Barrow

Trinity Consultants (columns A-C)
044402.0089
SBH Columns D - N

Alexander
for Bay Energy
ner Road, Suite 200
, TX 77024

7010 1870 0000 7685 3399

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FIRST CLASS

Marie Mercado
Emissions Banking & Trading Program, MC-206
Air Quality Division
Texas Commission on Environmental Quality
P.O. Box 13087
Austin, Texas 78711-3087

Bryan W. Shaw, Ph.D., P.E., *Chairman*
Toby Baker, *Commissioner*
Zak Covar, *Commissioner*
Richard A. Hyde, P.E., *Executive Director*

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

Protecting Texas by Reducing and Preventing Pollution

January 27, 2014

Mr. Craig Alexander
VP of Operations
Galveston Bay Energy, LLC
800 Gessner Road, Suite 200
Houston, Texas 77024

Re: Transfer of Nitrogen Oxides (NO_X) Allowances
Mass Emissions Cap and Trade (MECT) Program
Customer Reference Number: CN603938309
Regulated Entity Reference Number: RN100209774

Dear Mr. Alexander:

This letter is in response to an Application for Transfer of Allowances (Form ECT-2) received from Galveston Bay Energy, LLC, on January 21, 2014, project number 408542. The Texas Commission on Environmental Quality (TCEQ) acknowledges the following ECT-2 form(s) transferring NO_X allowances.

Project Status	Received	Project	Trade Type	Allocation Year	Action	Company Name	Amount Traded	Price Per Ton
Approved	1/21/2014	408542	Vintage	2012	Bought from	Galveston Bay Energy, LLC	24.1	$0

The portfolio summary listed below reflects allowances available for the specified control period. When the TCEQ deducts allowances for compliance at the end of the control period, current allowances are used first, and then vintage allowances.

Control Period	Portfolio	Company Name	Site or Broker Name	Vintage	Current
2013	P3562	Galveston Bay Energy, LLC	Galveston Bay A-1 Platform	24.1	0.0

Visit the Credit and Allowance Registry to view additional information about this transfer and other projects at http://www2.tceq.texas.gov/airperm/index.cfm?fuseaction=banking.start.

If you have questions concerning this transfer or need further assistance regarding the banking program, please contact Mr. Daniel Banda at (512) 239-4701 or write to the Texas Commission on Environmental Quality, Office of Air, Air Quality Division (MC-206), PO Box 13087, Austin, Texas 78711-3087.

This action is taken under authority delegated by the executive director of the TCEQ.

Sincerely,

David Brymer, Director
Air Quality Division

DB/DB/ig

cc: Air Section Manager, Region 12 – Houston

Bryan W. Shaw, Ph.D., P.E., *Chairman*
Toby Baker, *Commissioner*
Zak Covar, *Commissioner*
Richard A. Hyde, P.E., *Executive Director*

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

Protecting Texas by Reducing and Preventing Pollution

January 27, 2014

Mr. Craig Alexander
VP of Operations
Galveston Bay Energy, LLC
800 Gessner Road, Suite 200
Houston, Texas 77024

Re: Transfer of Nitrogen Oxides (NO_X) Allowances
Mass Emissions Cap and Trade (MECT) Program
Customer Reference Number: CN603938309
Regulated Entity Reference Number: RN100211069

Dear Mr. Alexander:

This letter is in response to an Application for Transfer of Allowances (Form ECT-2) received from Galveston Bay Energy, LLC, on January 21, 2014, project number 408542. The Texas Commission on Environmental Quality (TCEQ) acknowledges the following ECT-2 form(s) transferring NO_X allowances.

Project Status	Received	Project	Trade Type	Allocation Year	Action	Company Name	Amount Traded	Price Per Ton
Approved	10/7/2013	408413	Vintage	2012	Bought from	Koch Supply & Trading, LP	60.4	$125
Approved	10/7/2013	408413	Current	2013	Bought from	Koch Supply & Trading, LP	29.0	$125
Approved	10/10/2013	408414	Vintage	2012	Bought from	Amerex Brokers, LLC	28.3	$125
Approved	10/10/2013	408414	Current	2013	Bought from	Amerex Brokers, LLC	62.3	$125
Approved	10/30/2013	408443	Vintage	2012	Sold to	Galveston Bay Energy, LLC	12.0	$0
Approved	10/30/2013	408440	Vintage	2012	Sold to	Galveston Bay Energy, LLC	30.0	$0
Approved	1/21/2014	408542	Vintage	2012	Sold to	Galveston Bay Energy, LLC	24.1	$0
Approved	1/21/2014	408541	Vintage	2012	Sold to	Galveston Bay Energy, LLC	5.7	$0

Project Status	Received	Project	Trade Type	Allocation Year	Action	Company Name	Amount Traded	Price Per Ton
Pending	1/21/2014	408543	Current	2013	Sold to	Galveston Bay Energy, LLC	21.5	$0
Pending	1/21/2014	408540	Current	2013	Sold to	Galveston Bay Energy, LLC	24.0	$0
Pending	1/21/2014	408539	Vintage	2012	Sold to	Galveston Bay Energy, LLC	12.0	$0

The portfolio summary listed below reflects allowances available for the specified control period. When the TCEQ deducts allowances for compliance at the end of the control period, current allowances are used first, and then vintage allowances.

Control Period	Portfolio	Company Name	Site or Broker Name	Vintage	Current
2013	P3559	Galveston Bay Energy, LLC	Trinity Bay F-1 Platform	16.9	91.3

Visit the Credit and Allowance Registry to view additional information about this transfer and other projects at http://www2.tceq.texas.gov/airperm/index.cfm?fuseaction=banking.start.

If you have questions concerning this transfer or need further assistance regarding the banking program, please contact Mr. Daniel Banda at (512) 239-4701 or write to the Texas Commission on Environmental Quality, Office of Air, Air Quality Division (MC-206), PO Box 13087, Austin, Texas 78711-3087.

This action is taken under authority delegated by the executive director of the TCEQ.

Sincerely,

David Brymer, Director
Air Quality Division

DB/DB/ig

cc: Air Section Manager, Region 12 – Houston

Banking and Trading Route Slip

AIR QUALITY DIVISION Emissions Banking and Trading Program (EBTP)				
Company Name: Galveston Bay Energy, LLC - Trinity Bay F-1 | A1 - Platform				
Project Number: 408522				
Type of Letter Correspondence: CTAS CTAB				
Letter Document Number(s): 22467 22468				
Certificate Number(s): N/A				
Review and Approval	**Initial and Date**	**Comments/Special Instructions**		
Brandon Greulich, Work Lead EBTP	✓			
Author/Creator Review	DB 1/27/14			
Peer Review Completed	ITC 1/27/14			
Author/Creator	DB 1/23/14		**Copies Made** DB	**Date** 1/27
			Mailed DB	**Date** 1/27
Please return Routing Slip and Project Paperwork to Brandon Greulich, MC-206, Ext. 4904				

C 1/29/14